



# Improving modeling of ecosystem gross primary productivity through re-optimizing temperature restrictions on photosynthesis

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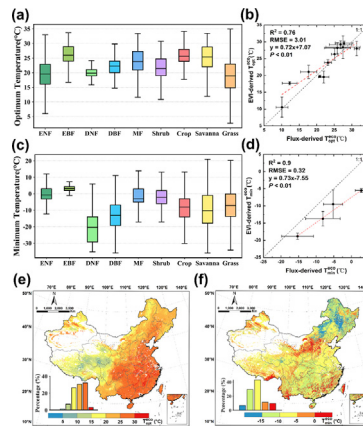
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## HIGHLIGHTS

- The temperature restrictions on photosynthesis are re-optimized at ecosystem scale.
- A new ecosystem-scale temperature-based temperature stress factor is proposed.
- The performance of the novel model is better than MODIS GPP model.

## GRAPHICAL ABSTRACT



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## ABSTRACT

The terrestrial ecosystem gross primary productivity (GPP) plays an important role in the global carbon cycle and ecosystem functions. However, the estimates of GPP still have large uncertainties due to insufficient understanding of the photosynthesis-temperature relationship and maximum light use efficiency ( $LUE_{max}$ ). We used satellite-derived proxies of GPP to derive optimum, minimum, and maximum temperature for photosynthesis at the ecosystem scale, which was then used to construct a new temperature stress expression. This study improves the MODIS-based light use efficiency model through coupling the optimized  $LUE_{max}$  with the new proposed temperature stress expression. The new model ( $R^2 = 0.81$ ,  $RMSE = 17.8 \text{ gC m}^{-2} (16 \text{ d})^{-1}$ ) performed better than the MODIS GPP products ( $R^2 = 0.67$ ,  $RMSE = 30.4 \text{ gC m}^{-2} (16 \text{ d})^{-1}$ ), especially for evergreen broad-leaf forests and croplands. The mean annual GPP over China is  $5.7 \pm 0.27 \text{ PgC}$ , and the GPP significantly increased by  $0.046 \pm 0.006 \text{ PgC year}^{-1}$  during 2001–2018. This study provides a potential method for future projections of terrestrial ecosystem functioning.

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## 1. Introduction

Terrestrial ecosystem gross primary productivity (GPP) is widely known as the first step for terrestrial plants to absorb carbon from the

atmosphere through photosynthesis. It describes the assimilation effect of vegetation on photosynthetic carbon (Monteith, 1972) and also represents the largest carbon dioxide (CO<sub>2</sub>) flux between the terrestrial biosphere and atmosphere (Beer et al., 2010). The change in GPP would significantly alter global carbon cycling and ecosystem functions, and thus feedback to the climate (Fernández-Martínez et al., 2014; Piao et al., 2009; Yao et al., 2018). As a result, understanding GPP and its spatiotemporal variation will reveal the response of ecosystems to climate change and improve our understanding of the terrestrial carbon cycle.

To date, various models have been developed to estimate GPP, among which remote sensing data-driven models have provided an unprecedented opportunity for better regional/global GPP estimations (Li and Xiao, 2019). Remote sensing data-driven models are commonly based on the light-use-efficiency (LUE) theory, which states that GPP can be formulated as the product of the LUE and the absorbed photosynthetically active radiation (APAR) (Monteith, 1972).

$$GPP = LUE \times APAR = LUE_{max} \times f_{stress} \times FPAR \times PAR \quad (1)$$

where  $LUE_{max}$  is the maximum LUE, which is either a constant (Potter et al., 1993) or changes in different ecosystems (Running et al., 2000);  $f_{stress}$  refers to the maximum LUE reduction under environmental stresses;  $PAR$  is the incident photosynthetically active radiation;  $FPAR$  is the fraction of incident PAR absorbed by the surface, usually derived from remote sensing data. Although these models have unclear ecological mechanisms, they have been widely globally due to simplicity of their theory and the availability of relatively long-term and large-scale remote sensing data (Ogutu et al., 2013). The Moderate Resolution Imaging Spectroradiometer (MODIS) GPP model (MOD17) is the most widely used GPP model based on the LUE theory (Running et al., 2004). It offers a global-scale GPP product at high spatial (500 m) and temporal (8-days) resolutions, useful for monitoring and simulating the global carbon cycle (Running et al., 2004). However, large uncertainties still exist in the MOD17 algorithm arising from the parameterization of the biome-specific lookup table, the inputs of data, and the algorithm itself (Heinsch et al., 2006; Turner et al., 2006; Wang et al., 2017; Yuan et al., 2014).

Similar to many GPP estimation models, temperature is considered a primary environmental stress affecting  $LUE_{max}$  in MOD17 algorithm. These models usually use a biome-specific look-up table, in which fixed air temperature parameters are defined for each vegetation type (Running et al., 2004; Xiao et al., 2004a; Yan et al., 2015; Yuan et al., 2007). However, the temperature effect on ecosystem photosynthesis is spatially heterogeneous (Liu et al., 2017) because of scaling differences among single species, plant communities, or ecosystems. Several studies reported that the biome-specific parameters for each vegetation type do not vary with time or space might lead to high uncertainties in quantifying GPP (White et al., 2000). Moreover, MOD17 only considers the minimum temperature to limit plant growth and ignores the effect of high temperature on ecosystem photosynthesis. When the minimum temperature of different vegetation types exceeds 8–12 °C, the MOD17 algorithm considers that the vegetation is no longer subject to temperature restrictions. However, appropriate temperature increases the activity of enzymes and accelerates plant growth (Kattge and Knorr, 2007), while inappropriate temperatures tend to inhibit the carbon fixation process of vegetation photosynthesis (Smith et al., 2016). High temperatures are thought to influence plant physiological processes (e.g., reducing stomatal conductance, enzyme activity, and promoting photosynthetic carbon metabolism) and vegetation canopy (e.g., leaf withering and senescence) (Bjorkman and Demmig, 1987; Hunt et al., 2004; Zhang et al., 2016), thereby severely affecting vegetation photosynthesis. In addition, these models generally simplify the mechanism of temperature requirements for vegetation growth and use the knowledge from leaf-scale or provide simplified definitions of the photosynthesis-temperature relationship (Liu, 2020). A recent research by Huang et al. (2019) showed that the ecosystem-scale optimum

temperature ( $T_{opt}^{eco}$ ) is consistently lower than the leaf-scale optimum temperature ( $T_{opt}^{leaf}$ ) and reported the first global distribution of the  $T_{opt}^{eco}$ . However, they did not study and discuss other ecosystem-scale photosynthesis-temperature further (minimum and maximum temperature). An understanding of the temperature lower and upper limits helps to promote the estimation of GPP. In addition to using a limited number of eddy covariance flux towers, it is also possible to use vegetation indices (e.g., EVI) as satellite proxies for photosynthesis to quantify the related photosynthesis-temperature parameters at the ecosystem scale (Chang et al., 2021; Chang et al., 2020).

The MOD17 algorithm assumes that the  $LUE_{max}$  remains constant for each vegetation type, and the APAR is the energy absorbed by the entire canopy (Running et al., 2004). However, some researchers have suggested that the photosynthetic capacity is mainly affected by leaf chlorophyll content in the canopy (Houborg et al., 2013; Wu et al., 2016). Compared with FPAR derived from the whole canopy, the fraction of PAR absorbed by chlorophyll ( $FPAR_{chl}$ ) can better capture the seasonal variation of vegetation photosynthetic capacity (Ogutu and Dash, 2013; Zhang et al., 2009; Zhang et al., 2014; Zhou et al., 2017). Considering the superiority of  $FPAR_{chl}$  in GPP estimation, many GPP estimation models based on  $FPAR_{chl}$  have been developed (Gao et al., 2014; Gitelson et al., 2006; Sims et al., 2008; Xiao et al., 2004a). Moreover, some studies have suggested that  $LUE_{max}$  is underestimated in many areas (Kattge et al., 2009; Liu et al., 2014). These problems led to relatively low temporal and spatial representations of MOD17 at site-level validation and large-scale underestimation, especially for evergreen broadleaf forests and croplands (Turner et al., 2006; Wang et al., 2017; Zhang et al., 2012). Therefore, improving the MODIS GPP algorithm for these shortcomings is of great importance to the study of the terrestrial carbon cycle.

As a large country with almost all ecosystem types and with the terrestrial ecosystems having great carbon sequestration potential in the global carbon budget (Piao et al., 2009; Wang et al., 2020), China is an ideal study region. Here, we examine the potential of using satellite-derived proxies of GPP (Enhanced Vegetation Index [EVI]) to estimate spatially explicit ecosystem-scale temperature parameters of vegetation productivity. To achieve this, a new temperature stress factor was established in this study, which was compared with the temperature stress factor in MODIS GPP products. Furthermore, we developed a novel MODIS-based light use efficiency model coupled with the optimized  $LUE_{max}$  and new temperature stress factor. The ability of GPP simulation was examined and compared with the MODIS GPP products, and the GPP of China was further estimated.

## 2. Materials and methods

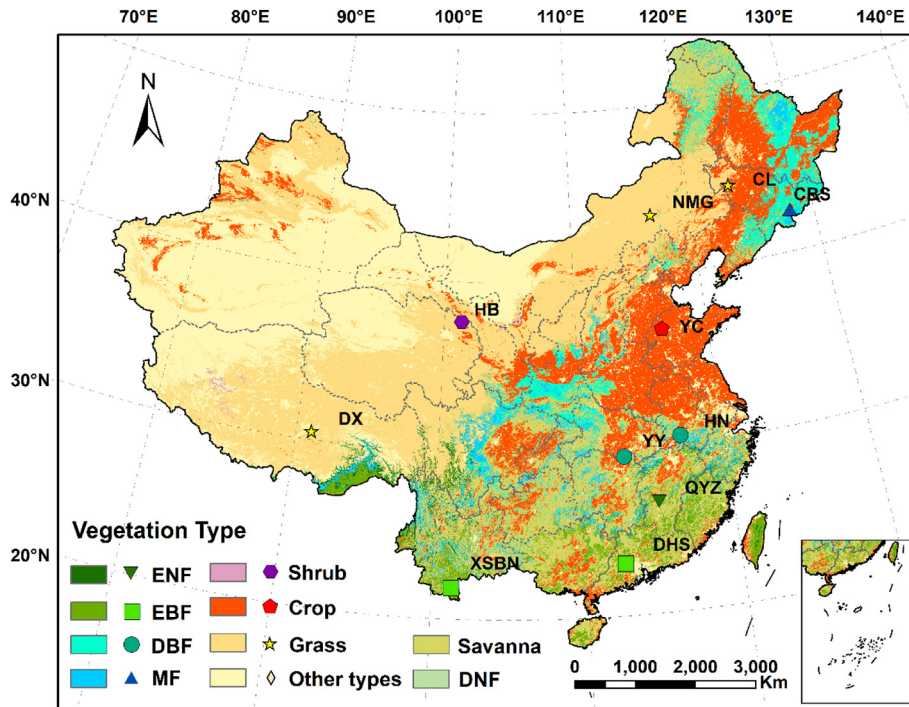
### 2.1. Materials

#### 2.1.1. Eddy covariance flux data

A total of 11 typical flux-tower sites with 70 site years of flux data across China were used in this study (Fig. 1). Detailed information can be found in Table 1. Quality-controlled half-hourly observations (including GPP and climate data) were obtained from ChinaFLUX ([www.chinaflux.org](http://www.chinaflux.org)) and global FLUXNET data network ([www.fluxnet.org](http://www.fluxnet.org)) (Papale et al., 2006; Pastorello et al., 2020; Yu et al., 2013). All the half-hourly observed flux data were transformed into a daily and 16-day resolution for further analysis. All flux-tower verified measurements were divided into two groups for model calibration and validation.

#### 2.1.2. Meteorological data

Meteorological data (including daily mean temperature and relative humidity and daily sunshine hour data) at approximately 2474 weather stations were collected by the China Meteorological Administration (CMA) (<http://data.cma.cn/>) from 2000 to 2018. Radiation data were



**Fig. 1.** Distribution of flux-tower sites in China used in this study. Different symbols in the figure indicate flux-tower sites of different vegetation types with a similar color to the same vegetation type. EBF: Evergreen Broadleaf Forest; ENF: Evergreen Needleleaf Forest; DNF: Deciduous Needleleaf Forest; DBF: Deciduous Broadleaf Forest; MF: Mixed forests; Crop: Crop-lands; Grass: Grasslands; Shrub: Closed Shrublands and Open Shrublands; Savanna: Woody Savannas and Savannas; Other types: Water, Snow, Urban, and Barren.

also obtained from the CMA, based on sunshine hour, air temperature, humidity, and other data and derived from the comprehensive solar radiation estimation model. Based on the MODIS GPP algorithm, PAR is calculated to be 45% of the total shortwave radiation (Running et al., 2004). The vapor pressure deficit (VPD) was derived using the World Meteorological Organization Commission for Instruments and Methods of Observation Guide conversion equation based on air temperature and relative humidity data (Chiang et al., 2018). The meteorology data were calculated within the same 16-day periods to be consistent with the time scale of the MODIS data.

Then, we used thin plate-smoothing spline-fitting techniques in ANUSPLIN 4.4 (Hutchinson and Xu, 2013) to interpolate the meteorological data into a 1 km spatial resolution over entire China with latitude, longitude and elevation as covariates. Elevation data were obtained from the Shuttle Radar Topographic Mission product (Jarvis A et al., 2008). All observed meteorological data series were quality

controlled and observed flux-tower climate data were applied as independent observations to evaluate the interpolated products. The results showed that the meteorological interpolation data interpolated were useful, and its accuracy was high (Fig. S1).

### 2.1.3. MODIS data

MODIS products (MOD13Q1, MOD17A2H, MOD15A2H, and MCD12Q1) in the period to 2001–2018 were archived at the National Aeronautics and Space Administration (NASA) Distributed Active Archive Center (DAAC). EVI is a vegetation index that has minimized canopy–soil variations and improved sensitivity over high biomass regions (Didan, 2015). We used a 16-day EVI dataset with a spatial resolution of 250 m from the MOD13Q1 vegetation index product. FPAR is the fraction of photosynthetically active radiation absorbed by vegetation and is acquired from the 500 m spatial resolution, 8-day products (MOD15A2H) (Myneni et al., 2015). All low quality data of EVI/FPAR data were checked,

**Table 1**  
Site characteristics in this study.

Site ID	Site name	Latitude (°N)	Longitude (°E)	Data range	Vegetation type	Climate	T <sub>opt</sub> <sup>eco</sup> (°C) <sup>a</sup>	T <sub>min</sub> <sup>eco</sup> (°C) <sup>b</sup>
QYZ	Qianyanzhou	115.05	26.73	2003–2010	ENF	Subtropical	26.8	–
DHS	Dinghushan	112.5	23.15	2003–2010	EBF	Subtropical	27.8	–
XSBN	Xishuangbanna	101.267	21.9	2003–2010	EBF	Tropical	25.1	–
YY <sup>c</sup>	Yueyang (CN-Hny)	112.93	29.53	2005–2006	DBF	Subtropical	31.4	–
HN <sup>c</sup>	Huaining (CN-Anh)	116.98	30.48	2005–2006	DBF	Subtropical	27.5	–
CBS	Changbaishan	128.0958	42.4025	2003–2010	MF	Temperate	22	–5.2
HB	Haibei	101.33	37.6652	2003–2010	Shrub	Alpine	12.3	–8.1
DX	Dangxiong	91.066	30.497	2004–2010	Grass	Alpine	10.1	2.8
NMG	Neimenggu	116.667	43.53	2004–2010	Grass	Temperate	17.7	–
CL <sup>c</sup>	Changling (CN-Cng)	123.5092	44.5934	2007–2010	Grass	Temperate	23.2	–13.7
YC	Yucheng	116.567	36.83	2003–2010	Crop	Temperate	25.9	–

<sup>a</sup> T<sub>opt</sub><sup>eco</sup>: The ecosystem-scale optimal temperature for vegetation productivity.

<sup>b</sup> T<sub>min</sub><sup>eco</sup>: The ecosystem-scale minimum temperature for vegetation productivity.

<sup>c</sup> Data from sites were obtained from [www.fluxnet.org](http://www.fluxnet.org), other were [www.chinaflux.org](http://www.chinaflux.org).

and data gaps were filled using linear temporal interpolation (Zhao et al., 2005). The Savitsky–Golay smoothing filter was used to remove the noise of EVI and FPAR data induced by cloudy, snow and atmospheric contamination (Jonsson and Eklundh, 2004). MOD17A2H GPP products (MOD17) (Running and Zhao, 2019) were used to evaluate the improved GPP model. Furthermore, 8-day FPAR and GPP data were transformed to 16-day for conforming to EVI data in this study. For each flux-tower site, MOD17, EVI, and FPAR were extracted from  $3 \times 3$  km around the center pixel of the flux-tower sites (Liu et al., 2014).

We used MODIS land cover with the classification scheme of the International Geosphere-Biosphere Program (IGBP). The MODIS IGBP land cover data were derived from the MCD12Q1 Land Cover Science Data Product at a spatial resolution of 1 km (Friedl and Sulla-Menashe, 2019). The 17 land cover types were reclassified into nine vegetation types: evergreen broadleaf forest (EBF), evergreen needle-leaf forest (ENF), deciduous needle-leaf forest (DNF), deciduous broadleaf forest (DBF), mixed forests (MF), woody savannas, savannas (Savanna), croplands (Crop), grasslands (Grass), and closed shrublands and open shrublands (Shrub). Land cover types of water, snow, urban, and barren are treated as non-vegetated areas (other types). The spatial distribution of the nine vegetation types is also shown in Fig. 1 with a lighter color than flux-tower sites in the same class.

## 2.2. Methods

### 2.2.1. Determinations of the temperature parameters for photosynthesis

Similar to previous research (Huang et al., 2019; Niu et al., 2012; Zhou et al., 2015), we used productivity observations (flux GPP data) and proxies (satellite-derived EVI) to calculate and map the ecosystem-scale optimal air temperature ( $T_{opt}^{eco}$ ) for vegetation productivity. Furthermore, we estimated the local ecosystem-scale minimum ( $T_{min}^{eco}$ ) and maximum air temperature ( $T_{max}^{eco}$ ) of vegetation productivity by examining the temperature response curve of GPP and EVI.

First, EVI and weather-station-data-derived temperature time series from 2000 to 2018 were grouped into different  $1^\circ\text{C}$  temperature bins for each vegetated pixel. The influence of other environmental constraints was reduced by using the 90% quantile of the EVI data as the EVI response in each temperature bin and calculating the moving average of every three temperature bins. The temperature response curve of photosynthesis is generally bell-shaped and the  $T_{opt}^{eco}$  was determined from the temperature response curve at which EVI was maximized (Fig. 2). The  $T_{min}^{eco}$  and  $T_{max}^{eco}$  were determined from the temperature response curve at which EVI was less than 0.1 (Fig. 2). Sims et al. (2006) pointed out GPP is almost 0 when EVI is approximately 0.1 (Sims et al., 2006), which was proved by our results (Fig. S2). Note that the  $T_{opt}^{eco}$  cannot be attained at either end of the response curve. Moreover, the  $T_{min}^{eco}$  must be less than the  $T_{opt}^{eco}$ , and the  $T_{max}^{eco}$  must be greater than the  $T_{opt}^{eco}$ . These pixels that cannot detect  $T_{opt}^{eco}$ ,  $T_{min}^{eco}$ , and  $T_{max}^{eco}$  and unvegetated pixels (multi-annual EVI values less than 0.1) (Seddon et al., 2016) were set to be NODATA. NODATA was replaced with the average values of  $T_{opt}^{eco}$  and  $T_{min}^{eco}$  in the same ecotype region, respectively. Regarding  $T_{max}^{eco}$ , it is difficult to detect. Its values refer to the settings of the Terrestrial Ecosystem Model (Aber et al., 1996; McGuire et al., 1992) on different vegetation types, as shown in Table 2. The reasons for this are discussed in Section 4.

The same method used for the EVI-derived  $T_{opt}^{eco}$  and  $T_{min}^{eco}$  was used to estimate the Flux-derived  $T_{opt}^{eco}$  and  $T_{min}^{eco}$  for each site year with time series of daily GPP data and corresponding temperature data derived from flux-tower observations. The  $T_{opt}^{eco}$  was determined from the temperature response curve at which GPP was maximized and the  $T_{min}^{eco}$  were determined from the temperature response curve at which GPP was almost 0. The EVI-derived  $T_{opt}^{eco}$  and  $T_{min}^{eco}$  were compared with Flux-derived  $T_{opt}^{eco}$  and  $T_{min}^{eco}$  using a least-square linear regression at all 11 flux-tower sites. We calculated the mean and standard deviation of

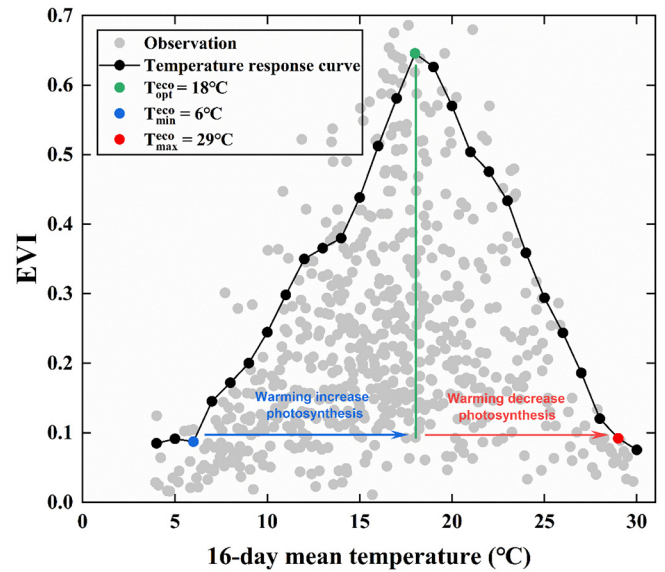


Fig. 2. The definition of ecosystem-scale optimum, minimum and maximum temperature for photosynthesis ( $T_{opt}^{eco}$ ,  $T_{min}^{eco}$  and  $T_{max}^{eco}$ ) with an example of a pixel. Gray dots indicate the observed MODIS EVI and the corresponding 16-day-averaged air temperature from 2001 to 2018, and black dots are the 90% quantile for each  $1^\circ\text{C}$  temperature bin.

each temperature parameter based on each site year with daily GPP and corresponding temperature data for each flux-tower site. We then extracted and calculated the mean and standard deviation of each temperature parameter within  $3 \times 3$  pixels around each flux-tower site center from the EVI-derived  $T_{opt}^{eco}$  and  $T_{min}^{eco}$  map.

### 2.2.2. Model descriptions

The MODIS GPP algorithm is based on the Monteith LUE theory (1972),

$$GPP = LUE_{max} \times f(TMIN) \times f(VPD) \times FPAR \times PAR \quad (2)$$

where  $LUE_{max}$  is the maximum conversion efficiency in different vegetation types,  $PAR$  is the incident photosynthetically active radiation, and  $FPAR$  is the fraction of  $PAR$  absorbed by the canopy.  $f(VPD)$  and  $f(TMIN)$  represent the limitations of vapor pressure deficit and minimum air temperature on GPP and can be calculated as follows:

$$f(VPD) = \frac{(VPD_{max} - VPD)}{(VPD_{max} - VPD_{min})} \quad (3)$$

$$f(TMIN) = \frac{(TMIN - TMIN_{min})}{(TMIN_{max} - TMIN_{min})} \quad (4)$$

where  $VPD$  is the vapor pressure deficit (Pa),  $TMIN$  is the minimum air temperature ( $^\circ\text{C}$ ), and  $VPD_{max}$ ,  $VPD_{min}$ ,  $TMIN_{max}$ , and  $TMIN_{min}$  are parameters dependent on vegetation types.  $f(VPD)$  and  $f(TMIN)$  are simple linear ramp functions of  $TMIN$  and  $VPD$ , respectively, with both ranging from 0 to 1, as illustrated in Fig. S2a and b. A more detailed description of the MODIS GPP model can be found in the literature (Running et al., 2004).

This study developed an improved model to estimate GPP based on the MODIS GPP model algorithm. One improvement is to improve the limitation that the  $f(TMIN)$  expression ignores the influence of high temperature and spatial heterogeneity. We integrate the ecosystem-scale temperature parameters into a new temperature stress expression and define  $f(T)$  with the following equation, showing that photosynthesis is suppressed at lower and higher air temperature thresholds. The  $f(T)$  also ranges from 0 to 1, as illustrated in Fig. S2c.

**Table 2**Parameters of ecosystem-scale maximum temperature for photosynthesis ( $T_{\max}^{\text{eco}}$ ) and calibrated maximum light use efficiency ( $\text{LUE}_{\max}$ ) for different vegetation types.

Vegetation type	EBF	ENF	DNF	DBF	MF	Shrub	Savanna	Crop	Grass
$\text{LUE}_{\max}$ ( $\text{gC}/\text{m}^2$ )	2.4634	2.8348	2.1962	1.5005	2.4829	1.6422	2.1962	3.2551	1.1948
$T_{\max}^{\text{eco}}$ ( $^{\circ}\text{C}$ )	48	40	40	40	48	48	48	48	48

$$f(T) = \min \left( \frac{T_a - T_{\min}^{\text{eco}}}{T_{\text{opt}}^{\text{eco}} - T_{\min}^{\text{eco}}}, \frac{T_{\max}^{\text{eco}} - T_a}{T_{\max}^{\text{eco}} - T_{\text{opt}}^{\text{eco}}} \right) \quad (5)$$

where  $T_a$  is the air temperature ( $^{\circ}\text{C}$ ), and  $T_{\text{opt}}^{\text{eco}}$ ,  $T_{\max}^{\text{eco}}$ , and  $T_{\min}^{\text{eco}}$  are the ecosystem-scale optimum, maximum and minimum temperatures for photosynthesis activities, respectively.  $T_{\text{opt}}^{\text{eco}}$ ,  $T_{\min}^{\text{eco}}$ , and  $T_{\max}^{\text{eco}}$  are estimated by the method in Section 2.2.1. The value of  $T_{\max}^{\text{eco}}$  is based on the vegetation type and can be found in Table 2.

The  $f(TMIN)$  indicated temperature stress was used in the MODIS GPP model while the  $f(T)$  applied in this study. We evaluated their potential in representing the temperature stress effect in two ways. First, the Pearson's correlation analysis was applied to explore the relationship between the 16-day observed GPP vs.  $f(T)$  and  $f(TMIN)$  at the 11 flux-tower sites. Because there is no minimum air temperature observation data at most flux-tower sites, we calculated  $f(TMIN)$  using the minimum temperature data extracted from interpolated meteorological data around each site center. Second, we calculated a new GPP (MOD\_T) for flux-tower sites on a 16-day scale with  $f(T)$  to substitute for  $f(TMIN)$  in the original MODIS GPP algorithm.

Another improvement is to replace FPAR with  $\text{FPAR}_{\text{chl}}$  and optimize the corresponding  $\text{LUE}_{\max}$ .  $\text{FPAR}_{\text{chl}}$  is often represented by EVI according to previous studies (Wu et al., 2010; Xiao et al., 2004b). Pearson's correlation analysis was also conducted for the 16-day observed GPP vs. FPAR (EVI) at 11 flux-tower sites. The corresponding  $\text{LUE}_{\max}$  of the improved model was calibrated based on flux-tower GPP data by using the evolutionary algorithm in this study. The evolutionary algorithms are robust and powerful tools for solving the global optimization problem and the GEATbx (Genetic and Evolutionary Algorithm Toolbox) in MATLAB was implemented in our analysis (Pohlheim, 2006). Then, we calculated a new GPP (MOD\_F) for flux-tower sites on a 16-day scale with  $f(T)$ , EVI, and optimized  $\text{LUE}_{\max}$  to substitute for the corresponding expression and parameters in the original MODIS GPP algorithm.

### 2.2.3. Model validation and comparisons

To distinguish the impacts of meteorological data on GPP, we calculated a new GPP (MODIS\_Me) for flux-tower sites on a 16-day scale. MODIS\_Me was based on the MODIS GPP algorithm and was driven by meteorology data extracted from interpolated meteorological data around each site center. Finally, the performance of the MOD\_Me, MOD\_T, MOD\_F, and MODIS GPP product (MOD17) were evaluated by comparing GPP values measured by flux-tower sites. The statistical indices used for evaluation were the coefficient of determination ( $R^2$ ), and root-mean-square-error (RMSE). It is commonly accepted that the smaller the RMSE and the closer the  $R^2$  value is to 1, the better the model performance (Moriyas et al., 2007; Xu et al., 2020).

### 2.2.4. Trend analysis of GPP

We quantified the long-term trend in annual GPP for each grid cell between 2001 and 2018 using the non-parametric Mann-Kendall (MK) test (Kendall, 1975; Mann, 1945). This method is frequently used for the significance of trend analysis of vegetation variables (Xie et al., 2019). The trends with  $P \leq 0.05$  were considered statistically significant in this study.

## 3. Results

### 3.1. Temperature parameters for photosynthesis

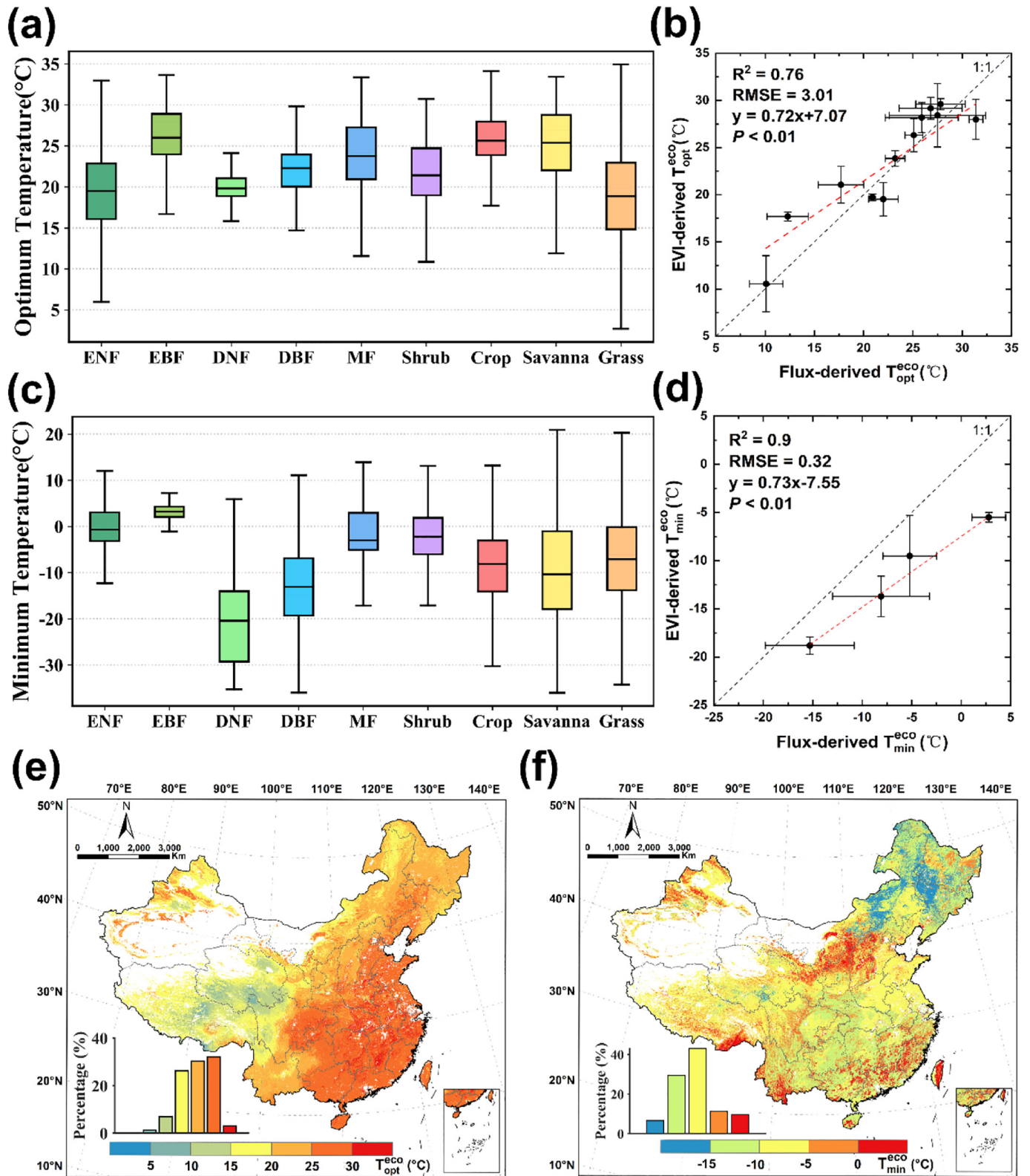
Flux-derived  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\min}^{\text{eco}}$  values ranged from 10.1–31.4  $^{\circ}\text{C}$  and –5.8–2.1  $^{\circ}\text{C}$ , respectively (Table 1). Generally,  $T_{\min}^{\text{eco}}$  cannot be detected in tropical and subtropical sites (XSBN, DHS, QYZ, YY, and HN) and  $T_{\text{opt}}^{\text{eco}}$  in tropical and subtropical sites is higher than that in temperate and alpine sites (Table 1), implying a dependency of  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\min}^{\text{eco}}$  on the background climate. The satellite observations of EVI are also used to estimate and map the spatial distribution of  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\min}^{\text{eco}}$  in China (Fig. 3e and f). EVI-derived  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\min}^{\text{eco}}$  are comparable to those from measurements of flux-tower sites (Fig. 3b and d), which support using the EVI proxy to map  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\min}^{\text{eco}}$ .

The average EVI-derived  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\min}^{\text{eco}}$  over the vegetated areas of China was  $22.5 \pm 5$   $^{\circ}\text{C}$  and  $-7.7 \pm 5.3$   $^{\circ}\text{C}$  with a high spatial heterogeneity, respectively. Fig. 3e shows that  $T_{\text{opt}}^{\text{eco}}$  in most areas varies within the range of 15–30  $^{\circ}\text{C}$ , and  $T_{\text{opt}}^{\text{eco}}$  in the eastern region is generally larger than that in the western region (Fig. 3e). Maximum values of  $T_{\text{opt}}^{\text{eco}}$  near 30  $^{\circ}\text{C}$  prevail at southeastern China and subtropical regions, while minimum values close to 10  $^{\circ}\text{C}$  mainly appear in high altitudes and Tibetan Plateau regions. As shown in Fig. 3f, over 70% of the  $T_{\min}^{\text{eco}}$  varies within –15 to –5  $^{\circ}$ . Maximum values of  $T_{\min}^{\text{eco}}$  close to 0  $^{\circ}\text{C}$  mainly appear in evergreen broad-leaved forests, while minimum values near –15  $^{\circ}\text{C}$  prevail at high latitudes and cold regions. Vegetation-specific  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\min}^{\text{eco}}$  were investigated for the nine vegetation types in China (Fig. 3a and c). Among the nine vegetation types, the largest mean  $T_{\text{opt}}^{\text{eco}}$  was found in the evergreen broad-leaved forest ( $26 \pm 4.7$   $^{\circ}\text{C}$ ), while the smallest mean  $T_{\text{opt}}^{\text{eco}}$  ( $18.9 \pm 5.1$   $^{\circ}\text{C}$ ) was found in grasslands (Fig. 3a). Evergreen broad-leaved forest also has the largest  $T_{\min}^{\text{eco}}$ , with a value of  $3.3 \pm 2.9$   $^{\circ}\text{C}$ , while deciduous broad-leaved forest has the smallest  $T_{\min}^{\text{eco}}$  with a value of  $-20.4 \pm 9.1$   $^{\circ}\text{C}$  (Fig. 3c).

### 3.2. Parameters of the improved model

Correlation analysis showed that observed GPP had a better correlation with  $f(T)$  than that with  $f(TMIN)$  at most sites (Fig. 4a), especially in all forest flux-tower sites. There was almost no correlation between the observed GPP and  $f(TMIN)$  in the two EBF sites (XSBN and DHS); however, the correlation coefficients of observed GPP and  $f(T)$  at XSBN and DHS flux-tower sites were 0.87 and 0.68, respectively. The correlations between observed GPP and EVI are also better than those between GPP and FPAR in forest flux-tower sites (Fig. 4b). The lowest correlations between observed GPP and FPAR were in the two EBF sites (XSBN and DHS) at 0.22 and 0.49, while the correlations between observed GPP and EVI are as high as 0.57 and 0.68, respectively.

Table 2 shows the optimized  $\text{LUE}_{\max}$  for the improved GPP model (MOD\_F). The optimized  $\text{LUE}_{\max}$  of all vegetation types was higher than that of the original MOD17 and exhibited extensive variability across vegetation types. The optimized  $\text{LUE}_{\max}$  of cropland was higher than those of the other flux-tower sites, while grassland was the lowest among all vegetation types. Due to the lack of DNF and Savanna flux-tower sites, the average  $\text{LUE}_{\max}$  from all other tower sites was used for these two vegetation types.

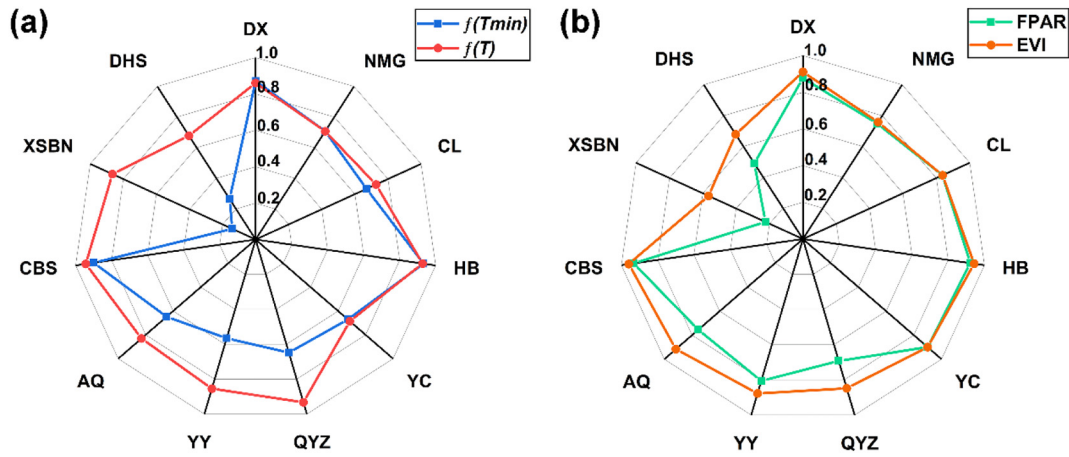


**Fig. 3.** (a, c) Box plot of ecosystem-scale optimum and minimum temperature for photosynthesis ( $T_{opt}^{eco}$  and  $T_{min}^{eco}$ ) for different vegetation types across China; (b, d) relationships between flux and EVI-derived  $T_{opt}^{eco}$  and  $T_{min}^{eco}$ ; (e, f) spatial distribution of  $T_{opt}^{eco}$  and  $T_{min}^{eco}$ . Horizontal lines in the box indicate the average  $T_{opt}^{eco}$  and  $T_{min}^{eco}$ , and the shaded area with different colors refers to the distribution of  $T_{opt}^{eco}$  and  $T_{min}^{eco}$  values (a, c); Black spot and error bars indicate means  $\pm$  standard deviation (b, d); The inset in panel (e, f) denotes the frequency distribution of  $T_{opt}^{eco}$  and  $T_{min}^{eco}$ .

### 3.3. Performance of the improved model

The improving GPP estimates (MOD\_T and MOD\_F) were compared with the original MOD17, MOD\_Me, and observed GPP data in the

validation period (Fig. 5). MOD\_F had the highest  $R^2$  and lowest RMSE at the site level of all models. The  $R^2$  value of MOD\_F against observed GPP ranged from 0.56 (XSBN) to 0.98 (HB), and RMSE ranges from  $5.04 \text{ gC m}^{-2} (16 \text{ d})^{-1}$  (DX) to  $49.24 \text{ gC m}^{-2} (16 \text{ d})^{-1}$  (YC). The



**Fig. 4.** Correlation coefficients of observed GPP vs.  $f(T)$  and  $f(TMIN)$  (a), and observed GPP vs. FPAR and EVI (b) at 11 flux-tower sites, respectively. DHS, DX, NMG, CL, DL, HB, YC, QYZ, YY, HN, CBS, and XSBN are the flux-tower site ID. Detailed information can be found in Table 1.

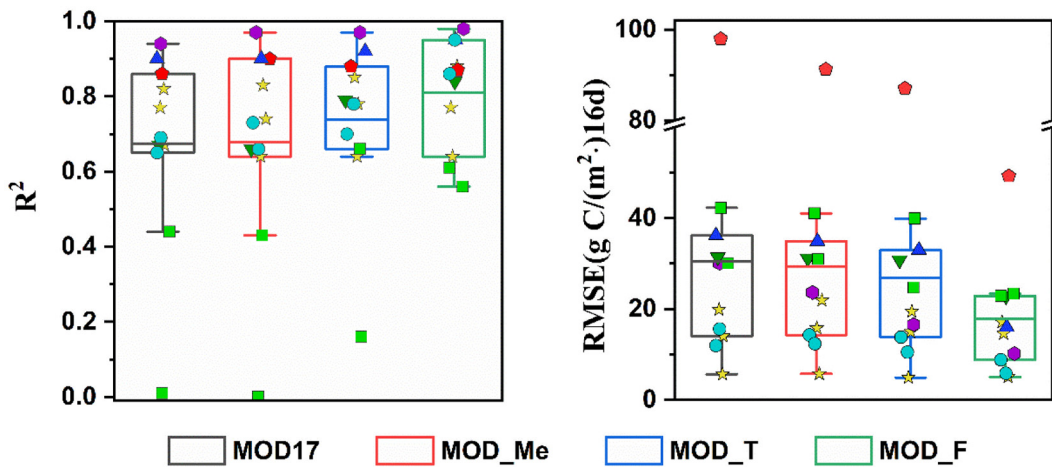
performance of MOD\_T (site-mean  $R^2$ , and RMSE are 0.74, and  $26.86 \text{ gC m}^{-2} (16 \text{ d})^{-1}$ , respectively) is also better than that of MOD17. Overall, it can be seen that  $f(T)$ , as a temperature stress factor in the improved GPP model, had a better capacity to represent the temperature stress effect. The performance of the XSBN site is worse than that of other sites, especially in MOD17 and MOD\_Me. Although there are some improvements in MOD\_T, the  $R^2$  value at the XSBN site is still small. However, the performance of the XSBN site in MOD\_F was greatly improved, and  $R^2$  was 0.56, and RMSE was  $23.36 \text{ gC m}^{-2} (16 \text{ d})^{-1}$ .

The MOD\_Me and MOD17 had almost identical performance (site-mean  $R^2$  was 0.67, and RMSE was 29.4, and  $30.4 \text{ gC m}^{-2} (16 \text{ d})^{-1}$ , respectively). This performance suggests that the different input meteorological data sources do not significantly impact the GPP simulation results. MOD17 and MOD\_Me are both lower than observed GPP at all flux-tower sites, except for the DHS sites (Fig. 6). They show an apparent underestimation, especially in the grass (DX, CL) and crop (YC) sites, which is consistent with previous studies (Yan et al., 2015; Zhang et al., 2008). Such findings may be due to the lower default  $LUE_{max}$  values used to produce the MODIS GPP product. The MOD\_F is consistent with the observed GPP in the validation years at each site. Therefore, the improved MOD\_F is the best when considering performance and seasonal variations among all models.

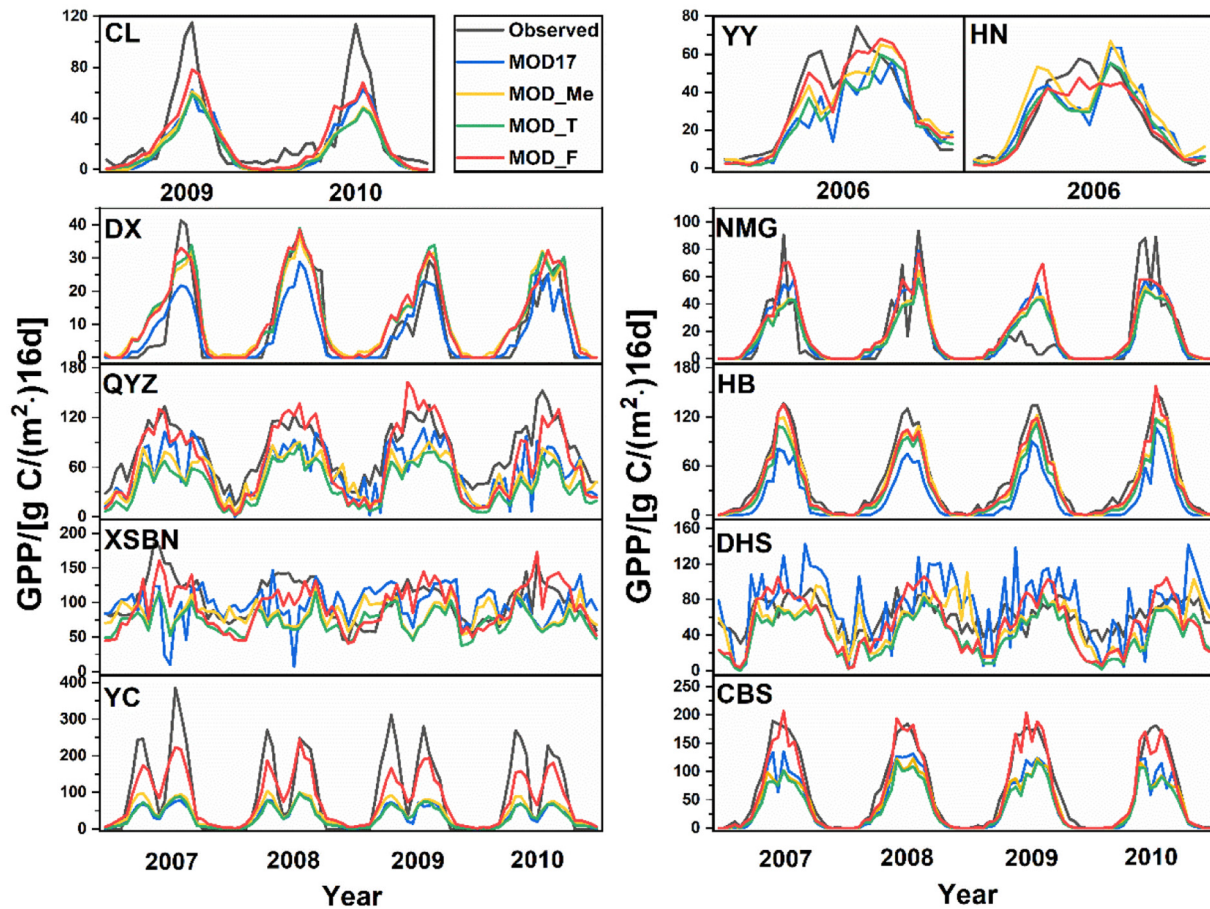
### 3.4. Spatiotemporal patterns of GPP over China

The spatial pattern of the mean annual GPP estimated by the MOD\_F model is shown in Fig. 7a. The magnitude of the mean annual GPP in more than 40% of the regions ranged from  $1000$  to  $2000 \text{ gC m}^{-2}$ . The highest mean annual GPP is distributed in northern China and tropical regions such as Hainan and the southern Yunnan Province, the intermediate values in the regions of central and southeast China, and the lowest values in northwest China, Qinghai-Tibet, and barren regions. Compared with MOD17, the MOD\_F model produces similar spatial patterns of mean annual GPP (Fig. 7b); however, MOD17 shows lower GPP estimates than MOD\_F in the northeast and north China and Qinghai-Tibet regions. These regions are mostly dominated by deciduous broad-leaf forest, cropland, and grassland (Fig. 1).

The temporal trends of annual GPP estimated by MOD\_F and MOD17 are shown in Fig. 7c and d, respectively. During the period of 2001 to 2018, almost 39.2% of the vegetated area in China showed a statistically significant trend in GPP ( $P < 0.05$ ). The areas with a positive trend (90%) are more widespread than those with negative trends (Fig. 7c). Only a few decreases in GPP occurred in some eastern and northernmost parts of China. MOD17 shows similar spatial patterns of annual GPP temporal, and positive trends are also more widespread (97%, Fig. 7d). The magnitude of the trend in most areas varies from  $0$  to  $20 \text{ gC m}^{-2} \text{ year}^{-1}$ , and



**Fig. 5.** Validations of four GPP models. The boxplot shows the  $R^2$ , and RMSE between 16-day observed GPP and the model estimated GPP for flux-tower sites. The MOD17 is the MODIS GPP model, and MOD\_Me is the MODIS GPP model driven by situ meteorological data. The MOD\_T and MOD\_F are the improved GPP model. The different color symbols in the box indicate the flux sites of different vegetation types, as shown in Fig. 1.



**Fig. 6.** Comparisons of seasonal variations of observed GPP versus estimated GPP MOD17, MOD\_Me, MOD\_T and MOD\_F at 11 flux tower sites in the validation years (The MOD17 was the MODIS GPP model and MOD\_Me was MODIS GPP model driven by in situ meteorological data. The MOD\_T and MOD\_F are the improved GPP model). DHS, DX, NMG, CL, DL, HB, YC, QYZ, YY, HN, CBS, and XSBN are the flux-tower site ID, and detailed information can be found in Table 1.

the larger increase in GPP is mainly in central China. According to estimates by MOD\_F and MOD17, the average annual total GPP over China is  $5.7 \pm 0.27$  and  $5 \pm 0.24$  PgC during 2001–2018, respectively. The GPP estimated by MOD\_F in China significantly increases for the 18-year period by  $0.046 \pm 0.006$  PgC year<sup>-1</sup> (Fig. 7e). The MOD17 also significantly increased during 2001–2018 ( $0.04 \pm 0.006$  PgC year<sup>-1</sup>,  $P < 0.001$ ).

#### 4. Discussion

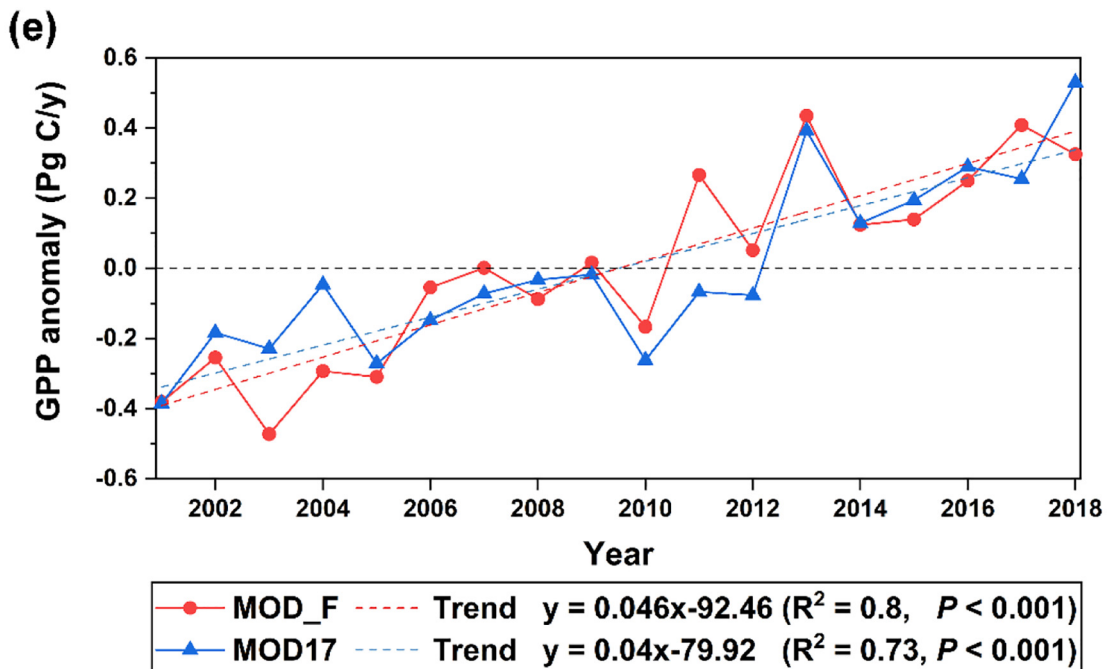
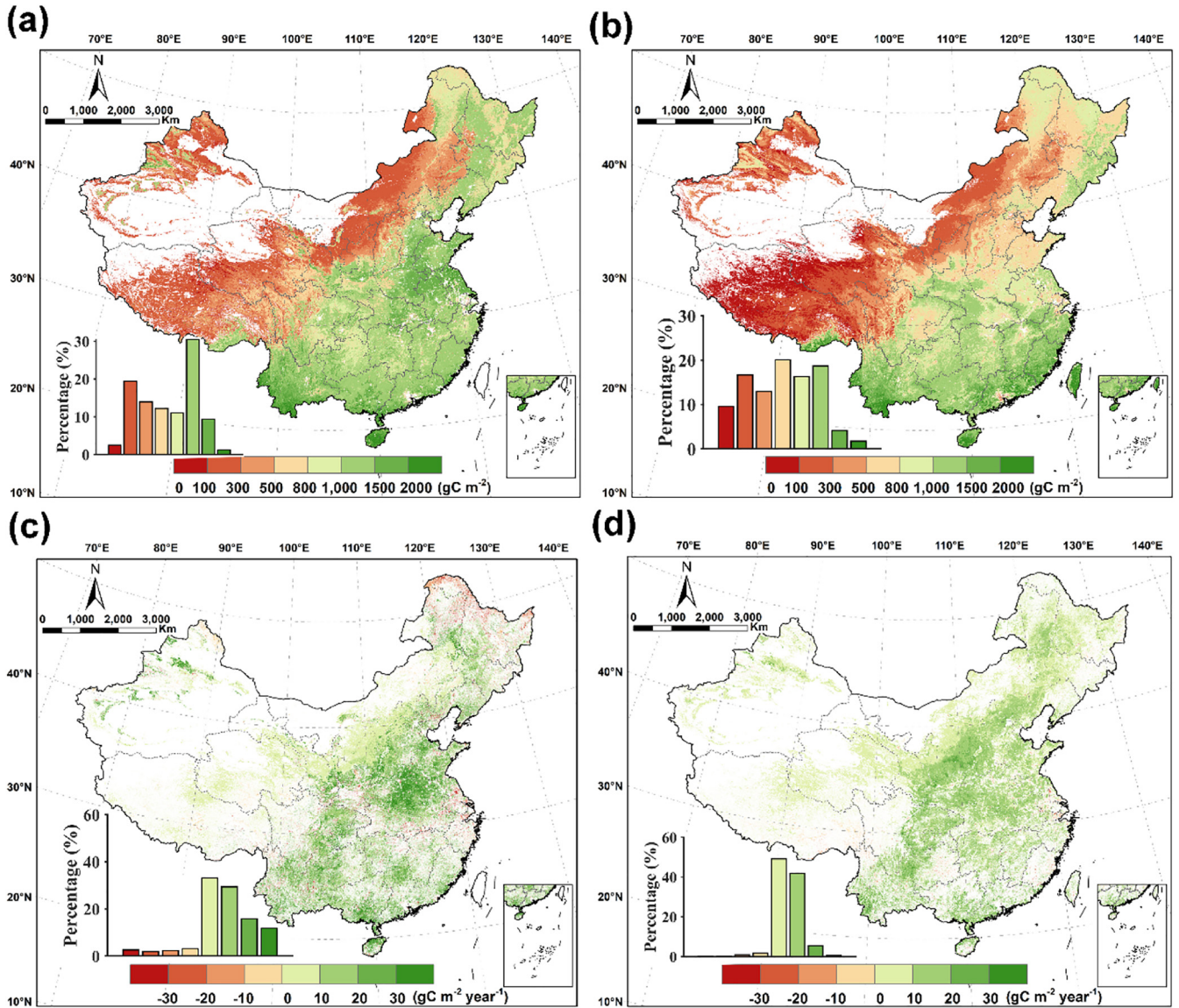
An understanding of the photosynthesis-temperature relationship is important for improving the estimation of terrestrial ecosystem gross primary productivity. Our results on  $T_{opt}^{eco}$  are similar to those of a recent study (Huang et al., 2019), suggesting that the global terrestrial average value of  $T_{opt}^{eco}$  is estimated to be  $23 \pm 6$  °C. Evergreen broad-leaved forest also has the largest  $T_{opt}^{eco}$ , with a value of  $29 \pm 3$  °C, and the smallest  $T_{opt}^{eco}$  ( $13 \pm 3$  °C) is in cold grasslands on the Tibetan Plateau. The study by Huang et al. (2019) defines the concept of  $T_{opt}^{eco}$  and provides the first global distribution of  $T_{opt}^{eco}$ . However, they did not further study the ecosystem-scale minimum and maximum temperature for photosynthesis. We specifically define  $T_{min}^{eco}$  and  $T_{max}^{eco}$  as “the minimum and maximum air temperature at which gross primary productivity is close to zero within a few years.” However, it is harder to detect  $T_{min}^{eco}$  than  $T_{opt}^{eco}$ . It was found that a robust estimate of  $T_{opt}^{eco}$  can be derived at all 11 sites, while there were only four sites for  $T_{min}^{eco}$ .  $T_{min}^{eco}$  was not detected

in any of the tropical and subtropical sites or most regions. This finding may be related to the influence of the background climate. Generally, when the temperature drops in autumn and winter, especially in the temperate regions of northern China, most of the deciduous plants and trees begin to shed leaves and enter a dormant state to stop growing. The tropical regions have high temperatures throughout the year, with an average annual temperature above 18 °C (Kottek et al., 2006). For example, the average annual temperature at XSBN flux-tower station in the tropical region is about 20 °C, EVI is generally higher than 0.3, and GPP is generally higher than  $3 \text{ gC m}^{-2} \text{ d}^{-1}$ . Generally, it is suitable for vegetation growth throughout the year, and vegetation is rarely affected by low-temperature stress; therefore,  $T_{min}^{eco}$  cannot be detected. This minimal detection suggests that if  $T_{min}^{eco}$  does not reach a lower temperature or experience a long period of low temperature but without impacts on vegetation growth, it will not be detected. In general, the specific definition of  $T_{min}^{eco}$  will help promote the study of  $T_{min}^{eco}$ , which means that the large-scale spatiotemporal characterization of  $T_{min}^{eco}$  can be quantitatively investigated.

$T_{max}^{eco}$  was similar to  $T_{min}^{eco}$ ; however, it was more challenging to detect than  $T_{min}^{eco}$ .  $T_{max}^{eco}$  was not detected at all sites and in most areas of China. The main reason may be that it still does not reach the continuous high temperature that will cause vegetation to wither in the study period. This may also be due to the relatively large spatiotemporal scale (16-day, 250 m) of satellite observations, resulting in the information not being captured in time. Additionally, some studies show that it is

**Fig. 7.** Comparisons of mean annual GPP (a, b), annual GPP temporal trend (c, d) and interannual variations in anomalies of annual total GPP (e) with the improved model (MOD\_F) (a, c) and MODIS GPP model (MOD17) (b, d) in China during the period 2001–2018. The inset in panel (a, b) and (c, d) denotes the frequency distribution of the average and temporal trend for annual GPP, respectively. The white-colored areas on land are non-vegetated pixels (a, b). Only grid cells with a significant trend determined by the MK test ( $P < 0.05$ ) are shown in (c, d).





difficult to capture the responses of GPP to short-term high temperatures by modeling methods in a realistic way (Otkin et al., 2018; Zhang et al., 2016). Future climate scenarios unanimously predict global warming, and extreme high-temperature events will gradually intensify (Wu et al., 2019). Thus, it is necessary to use more refined spatiotemporal scale satellite observations and find better methods to detect  $T_{\max}^{\text{eco}}$  in future research. To restore the true relationship between vegetation and temperature in areas where  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\text{min}}^{\text{eco}}$  were not detected, the mean values of  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\text{min}}^{\text{eco}}$  in the regions with the same vegetation type were replaced by NODATA. Here, we assumed that the adaptability of vegetation to temperature conditions was similar within the same vegetation type. Of course, this may also cause a difference in the  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\text{min}}^{\text{eco}}$  due to different climate, latitude, and geographical space (Cui, 2013). Further studies are necessary to gain insights regarding particular eco-geographic regions and obtain more refined eco-geographic regions consistent with a specific climate and ecosystem. Clarifying how terrestrial ecosystem gross primary productivity varies with air temperature is important for understanding and projecting carbon cycle-climate feedbacks.

In addition to the MODIS GPP model, numerous GPP models consider temperature stress, such as the TEC, VPM, EC-LUE, CI-LUE, TL-LUE, and TEM GPP models (He et al., 2013; Raich et al., 1991; Wang et al., 2015; Xiao et al., 2004a; Yan et al., 2015; Yuan et al., 2007). However, these models generally incorporate the photosynthesis-temperature response functions to use the knowledge from the leaf-scale (not ecosystem-scale) to project the GPP response to air temperature at the ecosystem scale (Rogers et al., 2017). These models also usually adopt the constant temperature parameters related to vegetation types to calculate the temperature scalars, without considering the spatial heterogeneity of temperature parameters. Therefore, we studied the ecosystem-scale photosynthesis-temperature response functions and estimated the distribution of  $T_{\text{opt}}^{\text{eco}}$  and  $T_{\text{min}}^{\text{eco}}$  in China. Our improved model was prior to these models in defining the temperature stress factor as  $f(T)$  incorporates the photosynthesis-temperature relationship at ecosystem scale. Fig. 4 shows that this improvement had a great performance in expressing the temperature stress effect. The high  $R^2$  and low RMSE between the observed GPP and the improved model estimated GPP (MOD\_T) in the flux-tower sites demonstrated the effectiveness of the improved model in GPP estimation (Fig. 5). Most importantly, the original MOD17 neglects the influence of maximum temperature on vegetation photosynthesis and the spatial heterogeneity of these temperature parameters. The improved model considers these and enhances the relevant physiological and ecological mechanisms.

The further addition of FPAR and corresponding  $LUE_{\max}$  improvements in the MOD\_F model produces the best overall statistics for all data (Fig. 5). Moreover, EVI showed a more significant correlation with observed GPP than FPAR (Figs. 4b, S2), especially forest sites. FPAR showed no significant correlations with GPP in evergreen broad-leaf forests (DHS, XSBN); this may cause MOD17 to estimate the GPP of evergreen broad-leaved forests the worst. The MODIS FPAR product algorithm employs a 3D canopy radiative transfer model (Knyazikhin et al., 1998) and a back-up algorithm that uses empirical relationships between the leaf area index (LAI), FPAR, and normalized difference vegetation index (NDVI). One factor may be the saturation of NDVI over dense vegetation and large sensitivity to canopy background brightness (Qi et al., 2019), leading to underestimation of FPAR. However, EVI uses the surface bidirectional reflectance of red and near-infrared spectral bands that are sensitive to leaf chlorophyll content and employs blue spectral reflectance to reduce the impact of atmospheric conditions (Huete et al., 2002). This process improves EVI performance over dense vegetation, makes EVI more sensitive to changes in the crown structure, and provides a reasonably accurate estimation of GPP (Ma et al., 2014; Shi et al., 2017). FPAR has a good correlation with GPP for grassland, shrubland, and farmland, but the model using FPAR still underestimated GPP (Fig. 6). This underestimation may be caused by

the uncertainty of  $LUE_{\max}$ , which is also mentioned in other studies (Marshall et al., 2018; Wang et al., 2015; Yuan et al., 2007). Based on the flux-tower data and genetic algorithm, the  $LUE_{\max}$  parameters of various vegetation types are re-optimized, and the simulated GPP (MOD\_F) then showed good capabilities. In follow-up research, it is still necessary to explore new logic that considers both geospatial and seasonal variations in  $LUE_{\max}$  parameters.

In short, the two innovations independently played a positive effect in improving GPP estimation. Compared with MOD17, the product from this study (MOD\_F) has higher estimates of average annual total GPP over China during 2001–2018, approximately  $5.7 \pm 0.27$  PgC. The results show that Chinese vegetation has great carbon sequestration potential and plays an important role in the global carbon cycle. Although the distribution of the interannual trend of GPP is heterogeneous among different regions from 2001 to 2018, it shows a significant increasing trend at the national scale (Fig. 7). Such results are consistent with previous studies using different GPP models (Li et al., 2013; Ma et al., 2019). Overall, the improved GPP model (MOD\_F) provides a reliable GPP estimation for China's terrestrial vegetation and global carbon cycle research.

### CRedit authorship contribution statement

**Dong Yang:** Investigation and performed experiment, Methodology, Data curation, Validation, Writing-original draft. **Xianli Xu:** Conceptualization, Supervised the research and designed experiments, Writing-Reviewing. **Fengjing Xiao:** Conceptualization and Data curation. **Chao hao Xu:** Writing- Reviewing and Editing. **Wei Luo:** Writing-Reviewing and Editing. **Lizhi Tao:** Writing- Reviewing and Editing.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.scitotenv.2021.147805>.

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