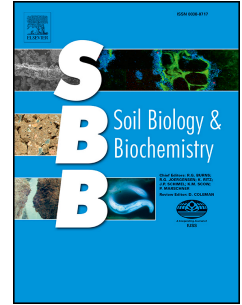


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Tree species identity surpasses richness in affecting soil microbial richness and community composition in subtropical forests

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1 **Tree species identity surpasses richness in affecting soil microbial richness**
2 **and community composition in subtropical forests**

3
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20 **ABSTRACT**

21 Plant interactions and feedbacks with soil microorganisms play an important role in sustaining
22 the functions and stability of terrestrial ecosystems, yet the effects of tree species diversity on
23 soil microbial community in forest ecosystems are still not well understood. Here, we
24 examined the effects of tree species richness (1-12 species) and the presence of certain
25 influential tree species (sampling effect) on soil bacterial and fungal communities in Chinese
26 subtropical forests, using high-throughput Illumina sequencing for microbial identification.
27 We observed that beta rather than alpha diversities of tree species and soil microorganisms
28 were strong coupled. Multivariate regression and redundancy analyses revealed that the
29 effects of tree species identity dominated over tree species richness on the diversity and
30 composition of bacterial and fungal communities in both organic and top mineral soil
31 horizons. Soil pH, nutrients and topography were always identified as significant predictors in
32 the best multivariate models. Tree species have stronger effect on fungi than bacteria in
33 organic soil, and on ectomycorrhizal fungi than saprotrophic fungi in mineral topsoil.
34 Concluding, tree species identity, along with abiotic soil and topographical conditions, were
35 more important factors determining the soil microbial communities in subtropical forests than
36 tree diversity *per se*.

37 **Keywords:** Plant diversity, Bacterial and fungal communities, Sampling effect, High-
38 throughput sequencing, Subtropical forests

39 1. Introduction

40 Investigating the linkages between above- and below-ground biodiversity has long been a hot
41 topic of ecological studies, because the interplay between the two components drives the
42 functions and stability of terrestrial ecosystems (Hooper et al., 2000; Wardle et al., 2004). As
43 an important colonizer of belowground habitats, soil microorganisms (bacteria and fungi)
44 influence plant diversity and productivity (van der Heijden et al., 2008). Conversely, soil
45 microorganisms are affected by the plant communities as microorganisms depend on the
46 products of plant photosynthesis: litter and rhizodeposits (Wardle, 2006; Blagodatskaya et al.,
47 2009; Prescott and Grayston, 2013). However, less is known about the contribution and
48 underlying mechanisms of plant diversity in driving the diversity and composition of soil
49 microbial communities in the field, particularly in forest ecosystems.

50 Increasing plant diversity generally increases the soil microbial diversity, with most case
51 studies occurring in temperate grasslands (Pellisier et al., 2014; Chen et al., 2017; Yang et al.,
52 2017) or tropical forests (Peay et al., 2013; Wang et al., 2015; Hiiesalu et al., 2017). General
53 explanation is that plant richness diversifies the resource pool, and creates more spatial niches
54 that can accommodate a greater diversity of soil microorganisms (the complementary effect)
55 (Hooper et al., 2000; Waldrop et al., 2006). Meanwhile, it has been also suggested that soil
56 microorganisms depend more on the certain influential plant species - key species, which
57 affect soil microbial communities through specific traits, than on plant richness *per se*

58 (Scheibe et al., 2015; Tedersoo et al., 2016; Gunina et al., 2017). The influence of a particular
59 species is termed as taxonomic sampling effect (Huston, 1997), which is ubiquitous in natural
60 and experimental systems and often masks the effect of biodiversity *per se* (Cardinale et al.,
61 2006; Tedersoo et al., 2014a). Increasing plant richness has a higher chance to contain key
62 plant species or their decreasing relative abundance. Therefore, the observed changes in the
63 soil microbial communities along plant diversity gradient may be caused by plant richness *per*
64 *se* or by the presence and abundance of certain key species. Most biodiversity experiments
65 have employed small model systems with fast-growing primary producers, in particular
66 herbaceous plants. We assume that the plant species effects are stronger in forests compared
67 to the grasslands, as 1) forests harbor the largest and longest lived tree species on land
68 (Bruehlheide et al., 2014), 2) spatial scale of the effects of individual trees is much larger than
69 of individual grasses and consequently the overlapping effects are less, and 3) the roots of
70 most trees are associated with ectomycorrhiza, which has much longer hyphae compared to
71 arbuscular mycorrhiza common for grasses. Many of the ecological surveys and experiments
72 failed to separate the hidden sampling effect from diversity effect *per se* (Tedersoo et al.,
73 2014a, 2016). Consequently, it is still poorly understood whether the soil microbial
74 communities in forest ecosystems are influenced to a larger extent by tree species richness *per*
75 *se* or by tree species identity.

76 Despite a well-established concept and evidence for strong coupling of plant and soil

77 microbial diversity, these effects remain elusive. Tedersoo et al. (2014b) found only a weak,
78 indirect relationship between soil fungal richness and plant richness over the globe. Similarly,
79 Prober et al. (2015) also found no consistent relationship between plant and soil microbial
80 richness across 25 temperate grassland sites from four continents. The differences in soil
81 types and properties as well as plant communities examined across large scales hide the plant
82 diversity effects. Interactions of plant-soil biota mainly occurred at local or regional scale
83 over short time (Toju et al., 2014; Peay et al., 2016), but the coevolution is ongoing on much
84 larger spatial and temporal scales. Thus, studies over large scales may not be well suited to
85 address subtle links between plant and soil microbial diversity. Moreover, a number of field-
86 based surveys indicated that soil microbial communities are actually poorly predicted by plant
87 diversity, while some abiotic environmental factors such as soil pH and organic matter as well
88 as topography were the more significant ecological drivers (Lauber et al., 2009; Bahram et al.,
89 2012; Ding et al., 2015). This means that potential impacts of plant diversity may also be
90 masked by abiotic environmental factors, which vary across experimental field sites. Because
91 biotic and abiotic factors often covary (Qiu et al., 2018), it is important to disentangle the
92 influences of plant diversity from the effects of abiotic factors.

93 In this study, we examined soil microbial community composition and diversity along a
94 gradient of tree species richness in typical subtropical forests in southern China. The studied
95 subtropical forests were developed from natural restoration of the destroyed forests since

96 firewood collection was forbidden in the late 1950s, and now consisted of diverse tree species
97 including coniferous *Pinus massoniana*, deciduous broadleaved *Choerospondias axillaris* and
98 evergreen broadleaved species (e.g. *Lithocarpus glaber* and *Cyclobalanopsis glauca*). These
99 secondary forest stands are essential to maintain ecosystem functions in subtropics (Xiang et
100 al., 2013). By using the high-throughput Illumina sequencing, we aimed to disentangle the
101 relative roles of 1) tree species richness, 2) sampling effects as well as 3) edaphic and
102 topographical variables on diversity and community composition of bacteria and fungi in
103 organic and mineral topsoil. We hypothesized that: (1) soil bacterial and fungal diversities are
104 positively related to tree species diversity, whether alpha or beta diversity. Here, microbial
105 alpha diversity is defined as the number of operational taxonomic units (OTUs) of each
106 sample, while beta diversity is defined as microbial community compositional pairwise
107 dissimilarity between quadrats (Yang et al., 2017). (2) The tree species diversity affects on
108 soil microbial richness and composition by taxonomic sampling effect rather than richness *per*
109 *se*, when accounting for abiotic environmental factors. Here, the sampling effect is taken into
110 account by using model selection, incorporating certain key species as dummy variables
111 (Tedersoo et al., 2016). (3) The effects of tree species are stronger for fungi than bacteria, and
112 for biotrophic fungal guilds (ectomycorrhizal fungi) that directly interact with tree species
113 than saprotrophic fungal guilds that are affected by tree species indirectly. Current methods
114 allow researchers to analysis entire soil fungal communities not just one functional guild

115 (Nguyen et al., 2016a), and thus differences among fungal functional guilds in their response
116 to plant diversity effects might be expected.

117

118 **2. Materials and methods**

119 ***2.1. Study site and experiment design***

120 The study was carried out at Dashanchong Forest Park (28°23'58"-28°24'58"N, 113°17'46"-
121 113°19'08"E), Changsha County, Hunan Province. This area is experiences a humid mid-
122 subtropical monsoon climate, with altitudes ranging from 55 to 260 m above mean sea level, a
123 mean annual air temperature of 17.3°C and a mean annual precipitation of 1416 mm (Ouyang
124 et al., 2016). The soil is a well-drained clay loam red soil developed on slate and shale rock,
125 classified as Alliti-Udic Ferrosols, corresponding to Acrisol in the World Reference Base for
126 Soil Resource (IUSS Working Group WRB, 2015). Evergreen broadleaved forest is the
127 climax vegetation of this region. Because of historical human disturbances and left for natural
128 regeneration, the Park has no primary forest and possesses a range of secondary forests
129 dominated by different tree species, including (1) *P. massoniana*-*L. glaber* coniferous and
130 evergreen broadleaved mixed forests (PMF) dominated by the shade-intolerant coniferous
131 species, (2) *C. axillaris* deciduous broadleaved forests (CAF) dominated by shade-intolerant
132 deciduous broadleaf species, and (3) *L. glaber*-*C. glauca* evergreen broadleaved forests (LGF)
133 dominated by the shade-tolerant evergreen broadleaved species which commonly observed in

134 this Park.

135 Three 1.0-ha permanent plots were previously established for the three typical secondary
136 forest stands in this Park, respectively (Xiang et al., 2013). Each 1.0-ha plot was divided into
137 100 equally distributed 10×10 m subplots for a field census. The locations of individual trees
138 within each subplot were tagged and identified, diameter at breast height (DBH), height (H),
139 and basal area (BA) of all tree species with $DBH \geq 4$ cm were measured. We calculated the
140 mean value of the relative elevation (m) at the four corners (using the elevation of original
141 location of X and Y coordinates in each plot as the reference point on the ground) to reflect
142 topography of each subplot. Detail information of stand characteristics of the three 1.0-ha
143 plots refer to our previous studies (Table S1, Zhu et al., 2016). According to the experimental
144 methods described by Leuschner et al. (2009), we then selected non-neighboring subplots
145 within each 1.0-ha plot as much as possible to avoid spatial autocorrelation and edge effects.
146 Finally, a total of 94 quadrats were selected from the three 1.0-ha plots to form a diversity
147 gradient with a range of 1-12 tree species richness (Fig. 1; Table S2).

148

149 **2.2. Sample collection and characterization**

150 In October 2016, samples of organic soil (or O horizon) (c. 0.5-3 cm) and mineral topsoil (up
151 to a depth of 10 cm) were collected at five points (one point at the center and four points
152 equidistant from the center toward the corners of the subplots) of each subplot. The five

153 samples from each subplot were pooled to form a composite sample for further analysis. A
154 total of 188 soil samples were obtained from the 94 subplots. Visible stones, roots and other
155 residues were removed in the field. Fresh soil samples were kept in a freezer being
156 transported to the laboratory. For each sample, 500 g of fresh soil were air-dried and sieved to
157 2 mm for physiochemical analyses, and 200 g of fresh soil were stored under -80°C for DNA
158 extraction.

159 Soil water content was measured by oven-drying the fresh soil samples at 105°C for 24 h.
160 Soil pH were measured with a soil to water ratio of 1:2.5 by an FE20 pH meter (Mettler
161 Toledo, Shanghai, China). Total nitrogen (N) was determined on an element analyzer (Vario
162 EL III, Elementar, Germany). Soil organic carbon (SOC) was measured using a $\text{K}_2\text{Cr}_2\text{O}_7$
163 oxidation method as described in Walkley (1947). Soil available phosphorus (P)
164 concentrations were determined by the $0.05 \text{ mol L}^{-1} \text{ HCl}$ - $0.025 \text{ mol L}^{-1} (1/2 \text{ H}_2\text{SO}_4)$ method
165 (Mehlich, 1984). Soil C/N ratio was calculated based on SOC and N concentration. Three
166 parallel measurements were performed for each soil sample to minimize experimental errors.
167 Organic and mineral topsoil physiochemical properties of the 94 subplots are presented in
168 Table S2.

169

170 ***2.3. DNA extraction, amplification and sequencing***

171 Soil total genomic DNA was extracted from 0.25 g of fresh organic or mineral topsoil sample

172 using the E.Z.N.A.[®] soil DNA Isolation Kit (Omega Bio-tek, Norcross, GA, USA) according
173 to the manufacturer's instructions. DNA was extracted three times from each soil sample, and
174 then mixed and homogenized. The quality and concentration of the extracted DNA were
175 quantified using a NanoDropND-2000c UV-Vis Spectrophotometer (NanoDrop Technologies,
176 Wilmington, DE, USA).

177 The primer set 515R/907F was employed to target the V4 and V5 regions of the bacterial
178 16S rRNA gene, as described by Xiong et al. (2012). The primer set ITS1F/ITS2 (2043R) was
179 used to amplify the fungal internal transcribed spacer (ITS) region (Gardes and Bruns, 1993;
180 Bokulich et al., 2013). The reverse primer contained variable length error-correcting barcodes
181 (10-12 bp) unique to each sample to permit sequencing on the Illumina Miseq platform. PCR
182 amplification was performed for each soil DNA extract in triplicate and combined into a
183 single composite sample. The 25 μ l PCR reaction mixtures consisted of 12.5 μ l Premix Taq
184 (Takara Biotechnology, Dalian, China), 0.5 μ l of each primer (10 μ M), 1.5 μ l of 10-fold
185 diluted DNA template (1-10 ng), and 10 μ l of sterilized ddH₂O. The thermal-cycling
186 conditions were 94 °C for 5 min; 35 cycles of 94 °C for 30 s, 55 °C for 30 s, 72 °C for 30 s,
187 followed by 72 °C for 10 min for primers 515R/907F; 94 °C for 3 min; 35 cycles of 94 °C for
188 45 s, 50 °C for 60 s, 72 °C for 60 s, followed by 72 °C for 10 min for primers ITS1F/ITS2.
189 PCR products were gel-purified using the Wizard SV Gel and PCR Clean-Up System
190 (Promega, San Luis Obispo, USA). The resultant PCR products were combined at equimolar

191 concentrations before being sequenced on an Illumina Miseq sequencer at the Majorbio Bio-
192 Pharm Technology Co., Ltd. (Shanghai, China).

193

194 **2.4. Bioinformatic analyses**

195 The obtained raw 16S rRNA and ITS sequence data were processed using the Quantitative
196 Insights Into Microbial Ecology (QIIME) pipeline (Caporaso *et al.*, 2010). Briefly, paired-end
197 reads with at least a 10-bp overlap and < 0.2 mismatches were combined using FLASH
198 (Magoc and Salzberg, 2011), and a threshold of average quality scores > 50 over 20-bp
199 window size was used to trim the unqualified sequences using BTRIM (Kong, 2011). A
200 joined sequence with ambiguous bases and lengths < 200 -bp were discarded. The obtained
201 sequences were normalized to the minimum number of reads across all samples for the
202 downstream analysis. Bacterial and fungal sequences were then independently clustered into
203 operational taxonomic units (OTUs) at a 97% identity threshold using UPARSE (Edgar, 2013)
204 with the chimeras and all singletons being discarded meanwhile. Taxonomy of bacteria and
205 fungi was assigned to each sequence through BLASTing against the RDP (Cole *et al.*, 2009)
206 and UNITE database (Abarenkov *et al.*, 2010), respectively. Fungal functional guilds
207 (funguilds) were assigned according to Tedersoo *et al.* (2014b) and Nguyen *et al.* (2016a).
208 The bacterial and fungal DNA sequences of the 188 soil samples have been deposited in the
209 SRA of the NCBI database under the Accession no. SRP128847 (SRR6460891-SRR6461078)

210 and SRP130039 (SRR6479064-SRR6479251), respectively.

211

212 **2.5. Statistical analyses**

213 All the analyses were performed at the subplot level ($n = 94$). One-way analysis of variance
214 (ANOVA) followed by t-test was performed to assess the differences in the relative
215 abundance of the major microbial taxa between the two soil horizons at $p < 0.05$ level. The
216 observed microbial OTU numbers and tree species richness were selected to represent
217 microbial and tree species alpha diversity, respectively. Community tables describing the
218 relative abundance of microbial OTUs and tree species composition were used as primary
219 data to calculate Bray-Curtis site distance tables for microorganisms and trees, respectively.
220 Bray-Curtis dissimilarity between each soil samples pair was used as a representation of
221 microbial and plant beta diversities as calculated using the “ECODIST” (version 2.0-1) and
222 “VEGAN” (version 2.3-3) packages in R (Chen et al., 2017; R Development Core Team,
223 2015). To test our first hypothesis, Pearson correlation analyses and Mantel tests were used to
224 examine the correlation of alpha and beta diversities between microorganisms and plants,
225 respectively.

226 In addition to tree species richness, the relative BA (R_{BA}) of the dominant tree species (i.e.
227 *P. massoniana*, *C. axillaris*, *L. glaber* and *C. glauca*) was selected as explanatory variables to
228 estimate taxonomic sampling effect (Tedersoo et al., 2016). As potential abiotic variables we

229 selected the soil physiochemical properties (i.e. water content, pH, SOC, TN, AP and C/N
230 ratio) and topographical factors (i.e. topography and convexity). To disentangle the effects of
231 these biotic and abiotic variables on OTU richness of soil microorganisms, individual
232 variables were subjected to the best ordinary least squares (OLS) multiple regression model
233 selection. All variables and OTU numbers were standardized (average = 0 and SD = 1) using
234 the “scale” function before the OLS multiple regression analysis. Akaike’s information
235 criterion (AIC) was used to identify the best OLS model, as implemented in the R package
236 “MASS” (version 7.3-45). The variance inflation factor (VIF) was calculated for OLS
237 multiple regression models using the R package “CAR” (version 2.1-2). We used the criterion
238 $VIF < 3$ to select suitable variables in the best multiple regression models to remove strongly
239 multicollinear variables (Yang et al., 2017).

240 To test whether these biotic and abiotic variables influence community composition of soil
241 microorganisms, distance-based redundancy analysis (db-RDA) was performed with forward
242 selection of the explanatory variables using the CANOCO 5.0 software (Microcomputer
243 Power, Ithaca, NY, USA). Community distance was calculated with the Bray-Curtis measure,
244 and explanatory variables were included into the model if P_{adj} was < 0.05 . The relative effects
245 of tree species richness, taxonomic sampling effect, and edaphic and topographical variables
246 on microbial community composition were calculated based on the best multivariate model.

247

248 **3. Results**249 **3.1. An overview of the Illumina sequencing results for the soil microbial communities**

250 Quality filtering recovered a total of 5,987,197 bacterial sequences (on average, 31,847 per
251 sample) from the 188 soil samples, and normalized to 18,870 sequences per sample. The
252 classified bacterial sequences were binned into 5,737 OTUs and 5,523 OTUs at 97%
253 sequence identity in organic and mineral topsoil, respectively. The most dominant bacterial
254 phyla across organic soil was *Proteobacteria* (43.0% of the total sequences, harbored 2008
255 OTUs), while *Acidobacteria* (43.6%, 331 OTUs) was dominated in mineral topsoil (Fig. 2a).
256 In addition, *Actinobacteria* was higher ($p < 0.01$) in organic soil and *Chloroflexi* was higher
257 ($p < 0.01$) in mineral topsoil (Fig. 2a).

258 In total, 6,980,917 fungal sequences that survived quality trimming and chimera removal
259 (on average 37,132 and normalized to 29,739 sequences per sample) were clustered into 6,351
260 OTUs. Organic and mineral topsoil harbored 5,410 and 4,165 OTUs respectively, and were
261 dominated by *Ascomycota* and *Basidiomycota*, which accounted for $> 75\%$ of the total
262 sequences. However, *Ascomycota* was higher ($p < 0.01$) in organic soil and *Basidiomycota*
263 was higher ($p < 0.05$) in mineral topsoil (Fig. 2b). When the observed fungal taxa were
264 divided into three major functional groups (symbionts, saprotrophs and pathogens), the
265 proportions of saprotrophs was higher ($p < 0.01$) in organic soil while symbionts was higher
266 ($p < 0.01$) in mineral topsoil (Fig. 2c). Notably, almost all of symbionts are ectomycorrhizal

267 (ECM) fungi rather than arbuscular mycorrhizal (AM) fungi.

268

269 **3.2. Correlations of soil microbial diversity with tree diversity**

270 Pearson correlation analysis revealed that a significant and positive relationship was only
271 found between tree species richness and fungal richness in the organic soil ($p < 0.05$, Fig. 3c).

272 Correlation between tree species richness and bacterial richness in both two soil horizons or
273 fungal richness in the mineral topsoil were absent (Fig. 3a, b and d). However, microbial beta
274 diversities in the two soil horizons were all significantly and positively correlated with tree
275 beta diversity. With increasing tree beta diversity, there was a corresponding increase in
276 bacterial and fungal diversities, respectively (Fig. 3e-h).

277

278 **3.3. Effects of biotic and abiotic factors on soil microbial richness**

279 The best OLS multiple regression model (the highest R^2_{adj} and lowest AIC) indicated that tree
280 species identity and soil variables were usually the best predictors of soil microbial richness
281 (Table 1 and 2). Bacterial richness responded significantly to soil pH, topography, *P.*
282 *massoniana* R_{BA} , *C. glauca* R_{BA} , soil C/N ratio and *L. glaber* R_{BA} , which collectively
283 explained 76.7% of the variation in the organic soil. In contrast, the strong predictors (*P.*
284 *massoniana* R_{BA} , *C. glauca* R_{BA} , *L. glaber* R_{BA} , tree richness and soil water content) explained
285 42.5% of variation of bacterial richness in the mineral topsoil.

286 Fungal richness in the organic soil was best explained by *C. glauca* R_{BA} , *P. massoniana*
287 R_{BA} , *L. glaber* R_{BA} and topography, which totally explained 48.7% of the variation. Soil AP,
288 topography and *C. glauca* R_{BA} were the best predictors of fungal richness in mineral topsoil,
289 altogether explaining 24.0% of variation. For ECM fungal richness, *C. glauca* R_{BA} , soil C/N
290 ratio, *L. glaber* R_{BA} , *C. axillaris* R_{BA} and *P. massoniana* R_{BA} accumulatively explained 48.0%
291 of the variation in the organic soil, while *P. massoniana* R_{BA} , *C. glauca* BA, *L. glaber* R_{BA}
292 and soil AP explained 49.4% of the variation in the mineral topsoil. For SAP fungal richness,
293 topography and *L. glaber* R_{BA} explained 36.9% of the variation in the organic soil, whereas
294 topography, soil AP, soil pH and *P. massoniana* BA explained 23.1% of the variation in the
295 mineral topsoil.

296

297 **3.4. Driving factors of soil microbial community composition**

298 The microbial community compositions were influenced by biotic and abiotic variables as
299 revealed by the db-RDA (Fig. 4, Table S3 and S4). For bacterial community composition in
300 the organic soil, 8 significant predictors taken together explained 39.6% of the variation, in
301 which tree species identity (*C. axillaris* R_{BA} , *C. glauca* R_{BA} , *P. massoniana* R_{BA} and *L. glaber*
302 R_{BA}), edaphic (soil pH, C/N ratio and AP) and topographical factors explained 8.1%, 13.7%
303 and 17.8%, respectively. For bacterial community composition in the mineral topsoil, tree
304 species identity (*P. massoniana* R_{BA} , *C. glauca* R_{BA} and *L. glaber* R_{BA}), tree species richness,

305 edaphic (soil pH, AP, C/N ratio and water content) and topographical factors explained 15%,
306 1.3%, 14% and 5.7% of the variation, respectively.

307 The fungal community composition in the organic soil was explained by tree species
308 identity (*P. massoniana* R_{BA} , *C. glauca* R_{BA} and *L. glaber* R_{BA}), edaphic (soil C/N ratio and
309 AP) and topographical factors with 18.6%, 4.1% and 7.0%, respectively, whereas by tree
310 species identity (*P. massoniana* R_{BA} , *C. glauca* R_{BA} and *L. glaber* R_{BA} , 9.7%), edaphic (soil
311 AP and pH, 3.5%) and topographical (6.8%) factors in the mineral topsoil. For ECM fungal
312 community, tree species identity (*C. glauca* R_{BA} , *P. massoniana* R_{BA} and *L. glaber* R_{BA}) and
313 topographical factors accumulatively explained 11.5% and 13.3% of the variation in the
314 organic soil; and tree species identity (*L. glaber* R_{BA} , *C. glauca* R_{BA} and *P. massoniana* R_{BA})
315 and topography explained 17.2% and 28.4% of the variation in the mineral topsoil. For SAP
316 fungal community structure in the organic soil, tree species identity (*P. massoniana* R_{BA} , *C.*
317 *glauca* R_{BA} and *L. glaber* R_{BA}), edaphic (soil C/N ratio and AP) and topographical factors
318 explained 10.3%, 3.8% and 13.9% of the variation. By contrast, SAP fungal communities in
319 mineral topsoil was mainly explained by topography (7.6%), followed by tree species identity
320 (*P. massoniana* R_{BA} and *C. glauca* R_{BA} , 5.8%) and edaphic variables (soil AP and pH, 3.8%).

321

322 **4. Discussion**

323 **4.1. Tree species richness versus species identity**

324 In this study, we just found significant correlation between tree species and fungal alpha
325 diversity in the organic soil (Fig. 3c), providing little support for our first hypothesis that the
326 alpha diversity of soil microorganisms and tree species are positively associated. This
327 indicates that more diverse tree forest would not necessarily promote microbial richness. In
328 contrast to the lack of relationship for alpha diversity, our first hypothesis predicting positive
329 correlation between soil microorganisms and tree species was supported for beta diversity; i.e.
330 quadrats that were more distinct in the composition of their tree communities also harbored
331 more distinct soil microbial communities (Fig. 3e-h). The OLS multiple regression models,
332 db-RDA and variation partitioning analyses further indicated that tree species richness itself is
333 rarely as a strong predictor of soil microbial richness and community composition after
334 accounting for confounding soil and topographical factors. This result contrasts from previous
335 studies, in which tree species richness was an important driver of soil microbial communities
336 (Gao et al., 2013; Hiiesalu et al., 2017). However, our results are consistent with Nguyen et al.
337 (2016b) who found that no significant effect of tree species richness on either ectomycorrhizal
338 or saprotrophic fungal species richness in a field experiment. The discrepancy among
339 aforementioned studies may resulted from the use of field-based tree diversity gradients
340 which contain some factors that covary with plant diversity or other factors that unrelated to
341 plants but influence soil microorganisms (Waldrop et al., 2006). Therefore, it is necessary to
342 incorporating higher tree species gradients (>20 species) to clearly observe the cumulative

343 nature of this relationship in the future studies. Soil microbial communities are largely
344 structured by the supply of growth limiting substrates, which enter soil via plant detritus
345 and/or root exudation (Prescott and Grayston, 2013; Uroz et al., 2016). The lack of
346 relationship between tree species richness and soil microbial communities should be arose
347 from the fact that resource availability (i.e. litter and root production from different tree
348 species and functional groups) did not change consistently with tree species richness.

349 Supporting our second hypothesis, tree species identity usually significantly influences the
350 soil microbial richness and community composition, reinforcing the strong sampling effects
351 on ecosystem services (Cardinale et al., 2006; Tedersoo et al., 2014a). Tree species with
352 various traits return organic matter of differing qualities to the soil, which in turn affects the
353 soil microbial richness and composition. Our previous study showed that litter quality differs
354 among the dominant tree species in these forests, with the highest N contents in *C. axillaris*,
355 the lowest N and P contents in *P. massoniana* and relatively high N/P ratio in *L. glaber* (Zeng
356 et al., 2017). Principal coordinate analyses of bacterial and fungal community compositions
357 further revealed that soil under the forest dominated by the same tree species typically
358 clustered together (Fig. S1), which clearly supports tree species identity effects on the
359 microbial community structure. It has been frequently reported that specific soil microbial
360 communities exist under specific tree species. Urbanová et al. (2015) showed that among the
361 seven dominant tree species, some tree species such as *Alnus* and *Pinus* presented

362 distinguishable soil bacterial and fungal communities. Pfeiffer et al. (2013) also observed
363 differentiation of bacterial communities according to tree species. These findings provided
364 obvious evidence that tree species differ in the belowground communities.

365 Our results showed that the directionality and magnitude of individual tree species effects
366 are different (Fig. S2; Table 1 and 2). For example, the increasing proportion of *P.*
367 *massoniana* strongly suppressed bacterial richness but increased ECM fungal richness in
368 mineral topsoil. Moreover, *C. glauca* R_{BA} , *L. glaber* R_{BA} and *P. massoniana* R_{BA} increased
369 ECM fungal richness in organic soil, whereas the contrary effects were observed for the effect
370 of *C. axillaris*. The negative effects are probably related to i) low palatability, ii) poor
371 compatibility with mutualistic partners or iii) strong defense mechanisms. The positive effects
372 may be ascribed to abundance of a particularly suitable substrate or facilitation (Tedersoo et
373 al., 2016).

374

375 ***4.2. Non-negligible of abiotic factors in determining soil microbial community***

376 Abiotic factors strongly determined soil microbial richness and community composition
377 (Table 1 and 2; Fig. 4). Soil pH had important effect on the bacterial but less for fungal
378 richness and community composition, which is consistent with the crucial pH effect on
379 bacteria (Lauber et al., 2009; Rousk et al., 2010). Moreover, both bacterial and fungal
380 communities were influenced by soil C/N ratio or AP content, which is consistent with other

381 studies (Coince et al., 2013; Ding et al., 2015). It appears that soil microbial communities
382 inhabiting the most nutritive soil were less diverse compared to poor soil, as richness of
383 bacteria and fungi responded negatively to increasing soil C/N ratio and soil AP, respectively
384 (Fig. S2, Table 1 and 2). Species diversity and community composition of fungi functional
385 guilds is also influenced by soil nutrients, which are in accordance with other studies
386 (Kernaghan, 2005; Twieg et al., 2007; Lauber et al., 2008). Although variation in edaphic
387 factors directly explained most of the variability in the richness and composition of soil
388 microorganisms, these factors were themselves largely influenced by the tree species and their
389 specific traits. Mantel test indicated that tree communities are tightly related with soil
390 properties (Table S5). These results supported the concept that soil geochemical parameters
391 served as a bridge to link the aboveground plant community with the belowground microbial
392 community (Rasche et al., 2010). Our results further demonstrated that topography was
393 another important driving factor for microbial communities in the subtropical forest soil (Fig.
394 4). Bacterial, fungal, and especially SAP fungal species richness were declines linearly with
395 increasing topography (Fig. S2). These results was corroborated with Gao et al. (2017) who
396 found that ridge and valley habitats (with different topography) harboring distinct fungal
397 communities in subtropical montane forest.

398

399 ***4.3. Differential responses of soil bacteria and fungi to biotic and abiotic factors***

400 As bacteria and fungi differ in their abilities to metabolize and compete for different C
401 sources (Uroz et al., 2016), it is reasonable to assume that bacterial and fungal communities
402 respond differently to the biotic and abiotic factors. Our results showed that fungal richness
403 correlated better with tree species richness than bacteria in the organic horizon (Fig. 3).
404 Moreover, the tree species identity affected fungal composition larger than bacterial
405 composition in both the organic and mineral topsoil, which supports our third hypothesis.
406 This is because fungi are more directly dependent on tree litter and biotrophic interactions
407 with trees as many fungi are obligate root symbionts and pathogens (Wardle, 2006; Gao et al.,
408 2013). In contrast, bacteria inhabit soil niches on a very small scale that often have no direct
409 connection to tree roots (Vos et al., 2013). Thus, the tree effects on bacteria were mainly
410 indirect and thus less pronounced. For funguilds, tree host specificity is an important driver of
411 symbiotic fungi (Buée et al., 2009) as well as saprotrophic fungi (Lang et al., 2011). We can
412 intuitively expect a more important impact of tree host specificity on ectomycorrhizal
413 communities due to the biotrophic link established between the tree species and ECM fungi.
414 For example, *P. massoniana*, *C. glauca* and *L. glaber* had been identified as ECM plants by
415 observing the root morphology under dissecting microscope (Wang and Qiu, 2006; Gao et al.,
416 2015). These ECM fungal host specialists had significant positive effects on ECM fungal
417 richness (Fig. S2, Table 1 and 2). Moreover, our results revealed the tree species identity
418 effects explained more variation of ECM fungal community composition than SAP fungal

419 community composition, particularly in the mineral topsoil (Fig. 4, Table S3 and S4). This
420 was parallel to the results of Peay et al. (2013), who found that symbiotic fungal community
421 richness responded more strongly to plant community changes than that of SAP fungi.

422 The changes of bacterial and fungal (apart from ECM fungi) communities in organic soil
423 were better predicted by the explanatory factors than in the mineral topsoil (Table 1 and 2,
424 Table S3 and S4). The finding that the extent of biotic and abiotic factors influence on
425 microbial communities differs between organic and mineral topsoil is not surprising as
426 different microbial communities distributing in the two horizons (Prescott and Grayston, 2013;
427 Voríšková et al., 2014). For example, *Proteobacteria*, *Actinobacteria*, and *Bacteroidetes*
428 were more abundant in organic soil, while *Acidobacteria* and *Chloroflexi* were significantly
429 enriched in the mineral topsoil (Fig. 2), which is consistent with Uroz et al. (2013). The
430 difference in microbial communities depends on environmental conditions between the two
431 horizons, with organic soil is formed mainly by the tree litter. This finding suggest that the
432 distinctness in environmental conditions between the two soil horizons has profound
433 influences on microbial niche differentiation, and further imply that horizon specific variables
434 should be used to predict their soil microbial communities.

435

436 **5. Conclusions**

437 We examined how richness and species identity of trees and abiotic factors affect soil

438 microbial richness and community composition in subtropical forest ecosystems. Our results
439 revealed that soil microbial richness and community composition are influenced stronger by
440 particular tree species as well as abiotic soil and topographical factors, than by changes in tree
441 richness *per se*. This suggests relatively stronger sampling effects of dominant tree species
442 compared to complementary effects among all tree species on the soil microbial communities.
443 Our results also demonstrated that the relative contribution of these selected environmental
444 predictors differed between bacteria and fungi, ectomycorrhizal and saprotrophic fungi, as
445 well as between the organic and mineral topsoil. This illustrates the importance of considering
446 microbial taxonomic groups and their specific to soil horizons when predicting microbial
447 responses to environmental changes in forest ecosystems. We conclude that species identity at
448 least in forests – with long-term effects of the specific trees on edaphic conditions – is more
449 important than just general tree biodiversity on biodiversity of bacterial and fungal
450 communities in soil.

451

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460

461 **Conflicts of Interest**

462 The authors have no conflicts of interest to declare.

463

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662 **Table 1**

663 Summary of the best ordinary least squares (OLS) multiple linear regression models for the
 664 effects of biotic and abiotic factors on richness of microorganisms in the organic soil.

Variable	Estimate	SE	t-value	P-value	VIF
Bacterial richness: df = 85; $R^2_{adj} = 0.767$; $SE_{resid} = 0.482$; F = 39.35; AIC = -126.7					
Soil pH	0.265	0.067	3.959	<0.001	1.681
Topography	-0.328	0.073	-4.473	<0.001	2.025
<i>P. massoniana</i> R_{BA}	-0.212	0.072	-2.960	0.004	1.926
<i>C. glauca</i> R_{BA}	-0.170	0.061	-2.804	0.006	1.386
Soil C/N ratio	-0.187	0.067	-2.782	0.007	1.699
<i>L. glaber</i> R_{BA}	-0.131	0.062	-2.119	0.037	1.439
Fungal richness: df = 89; $R^2_{adj} = 0.451$; $SE_{resid} = 0.741$; F = 20.1; AIC = -55.2					
<i>C. glauca</i> R_{BA}	-0.279	0.088	-3.182	0.002	1.308
<i>P. massoniana</i> R_{BA}	-0.286	0.092	-3.096	0.003	1.444
<i>L. glaber</i> R_{BA}	-0.271	0.089	-3.029	0.003	1.355
Topography	-0.285	0.105	-2.718	0.008	1.856
ECM fungal richness: df = 88; $R^2_{adj} = 0.480$; $SE_{resid} = 0.721$; F = 18.14; AIC = -55.59					
<i>C. glauca</i> R_{BA}	0.302	0.084	3.616	<0.001	1.245
Soil C/N ratio	0.305	0.095	3.221	0.002	1.605
<i>L. glaber</i> R_{BA}	0.195	0.084	2.316	0.023	1.271
<i>C. axillaris</i> R_{BA}	-0.205	0.094	-2.176	0.032	1.588
<i>P. massoniana</i> R_{BA}	0.185	0.104	1.784	0.048	1.913
SAP fungal richness: df = 90; $R^2_{adj} = 0.348$; $SE_{resid} = 0.813$; F = 16.86; AIC = -37.43					
Topography	-0.432	0.100	-4.307	<0.001	1.414
<i>L. glaber</i> R_{BA}	-0.215	0.098	-2.199	0.030	1.373

665 (AIC, Akaike's information criterion; VIF, variance inflation factor)

666

667 **Table 2**

668 Summary of the best ordinary least squares (OLS) multiple linear regression models for the
 669 effects of biotic and abiotic factors on richness of microorganisms in the mineral topsoil.

Variable	Estimate	SE	t-value	P-value	VIF
Bacterial richness: df = 88; $R^2_{adj} = 0.425$; $SE_{resid} = 0.758$; F = 14.76; AIC = -44.26					
<i>P. massoniana</i> R_{BA}	-0.569	0.085	-6.683	<0.001	1.171
<i>C. glauca</i> R_{BA}	-0.398	0.086	-4.632	<0.001	1.197
<i>L. glaber</i> R_{BA}	-0.317	0.083	-3.819	<0.001	1.116
Tree richness	-0.247	0.086	-2.616	0.010	1.193
Soil water content	-0.167	0.083	-2.017	0.046	1.109
Fungal richness: df = 88; $R^2_{adj} = 0.240$; $SE_{resid} = 0.872$; F = 6.86; AIC = -19.95					
Soil AP	-0.378	0.102	-3.696	<0.001	1.281
Topography	-0.320	0.105	-3.056	0.003	1.334
Soil pH	-0.271	0.092	-2.934	0.004	1.045
<i>C. glauca</i> R_{BA}	-0.200	0.095	-2.100	0.038	1.113
ECM fungal richness: df = 87; $R^2_{adj} = 0.494$; $SE_{resid} = 0.711$; F = 16.15; AIC = -57.36					
<i>P. massoniana</i> R_{BA}	0.460	0.083	5.552	<0.001	1.262
<i>C. glauca</i> R_{BA}	0.342	0.077	4.445	<0.001	1.085
<i>L. glaber</i> R_{BA}	0.276	0.080	3.443	<0.001	1.183
Soil AP	-0.188	0.085	-2.222	0.029	1.321
SAP fungal richness: df = 88; $R^2_{adj} = 0.231$; $SE_{resid} = 0.877$; F = 6.598; AIC = -18.93					
Topography	-0.408	0.106	-3.864	<0.001	1.348
Soil AP	-0.320	0.105	-3.055	0.003	1.326
Soil pH	-0.251	0.093	-2.698	0.013	1.049
<i>P. massoniana</i> R_{BA}	0.268	0.104	2.572	0.049	1.313

670 (AIC, Akaike's information criterion; VIF, variance inflation factor)

671

672 **Figure captions**

673 **Fig. 1.** Map of the three 1.0-ha secondary forest plots: (a) *P. massoniana*-*L. glaber* coniferous
674 and evergreen broadleaved mixed forests, (b) *C. axillaris* deciduous broadleaved forests and
675 (c) *L. glaber*-*C. glauca* evergreen broadleaved forests. The 94 selected quadrats distributed in
676 the three plots (d, e, f) illustrating different tree species richness levels.

677 **Fig. 2.** Comparison of taxonomic distribution of total sequences of bacteria (a), fungi (b) and
678 funguilds (c) in the organic and mineral topsoil horizons. The asterisk (*) denotes significance
679 at the $p < 0.05$ level, and asterisk (**) denotes significance at the $p < 0.01$ level.

680 **Fig. 3.** Correlations of soil bacterial and fungal diversities with tree diversity across the
681 studied subtropical forest. Alpha diversity (a-d, solid circle) shows linear regression of soil
682 microbial richness against tree species richness ($n = 94$), and beta diversity (e-h, hollow circle)
683 shows linear regression of the pairwise Bray-Curtis distance for microbial and tree
684 communities (a total of 4371 points and each point represents the dissimilarity in taxonomic
685 composition between a pair of plots).

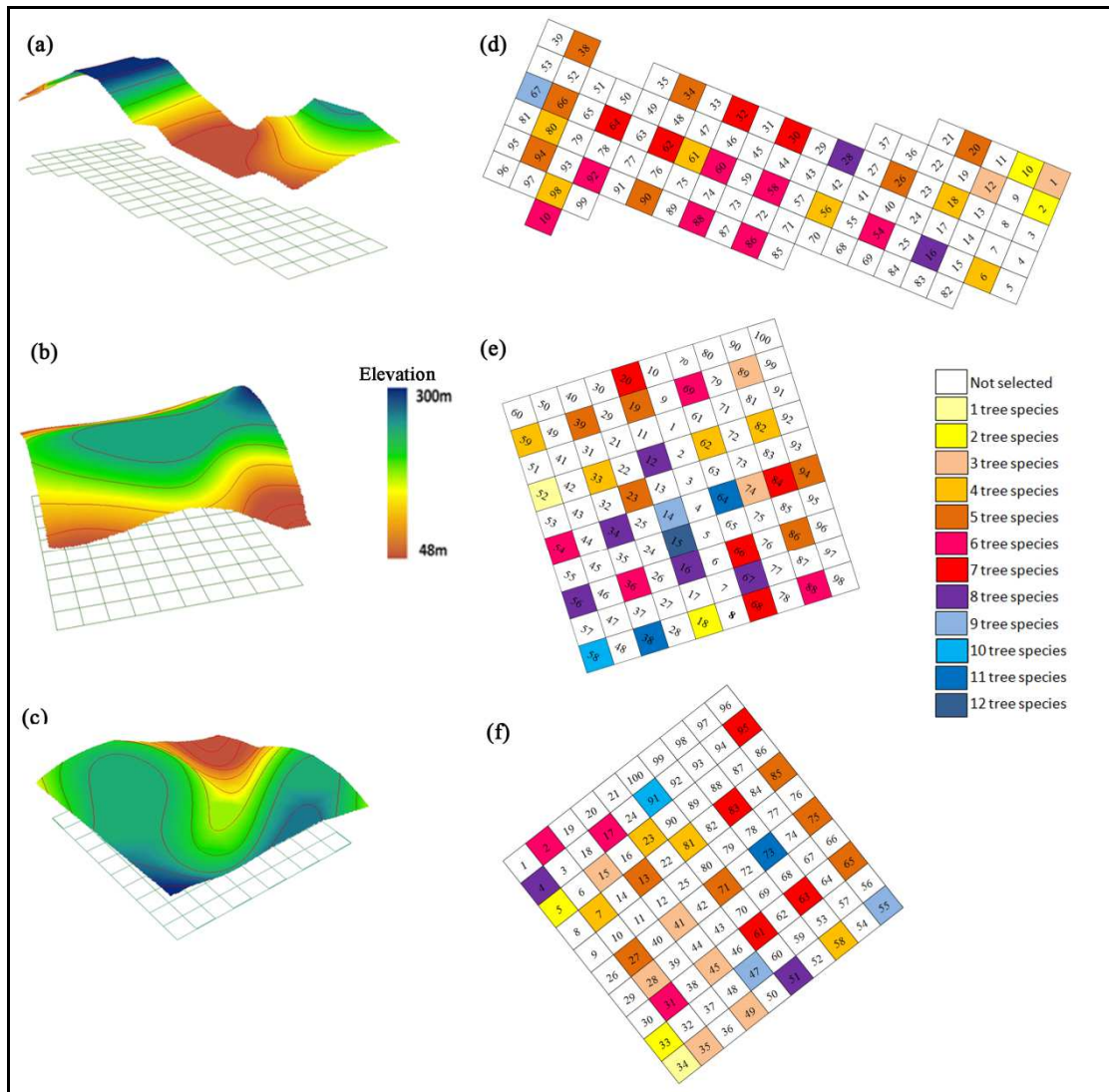
686 **Fig. 4.** Distance-based redundancy analyses (db-RDA) plot showing the relationship of biotic
687 and abiotic factors to community composition of bacteria (a, b), fungi (c, d), ECM fungi (e, f)
688 and SAP fungi (g, h) in the organic and mineral topsoil horizons, respectively. The ordination
689 is based on Bray-Curtis distance with forward selection, and factors were chosen that
690 significantly ($P_{\text{adj}} < 0.05$) contributed to the model. The strongest predictors in the best

691 community models are underlined.

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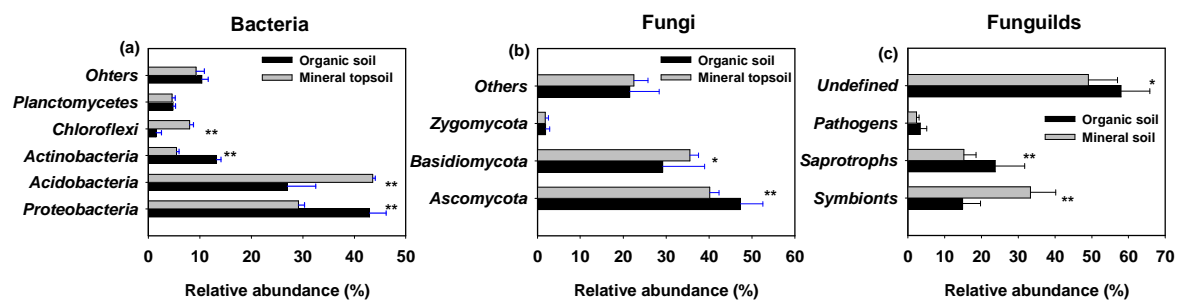
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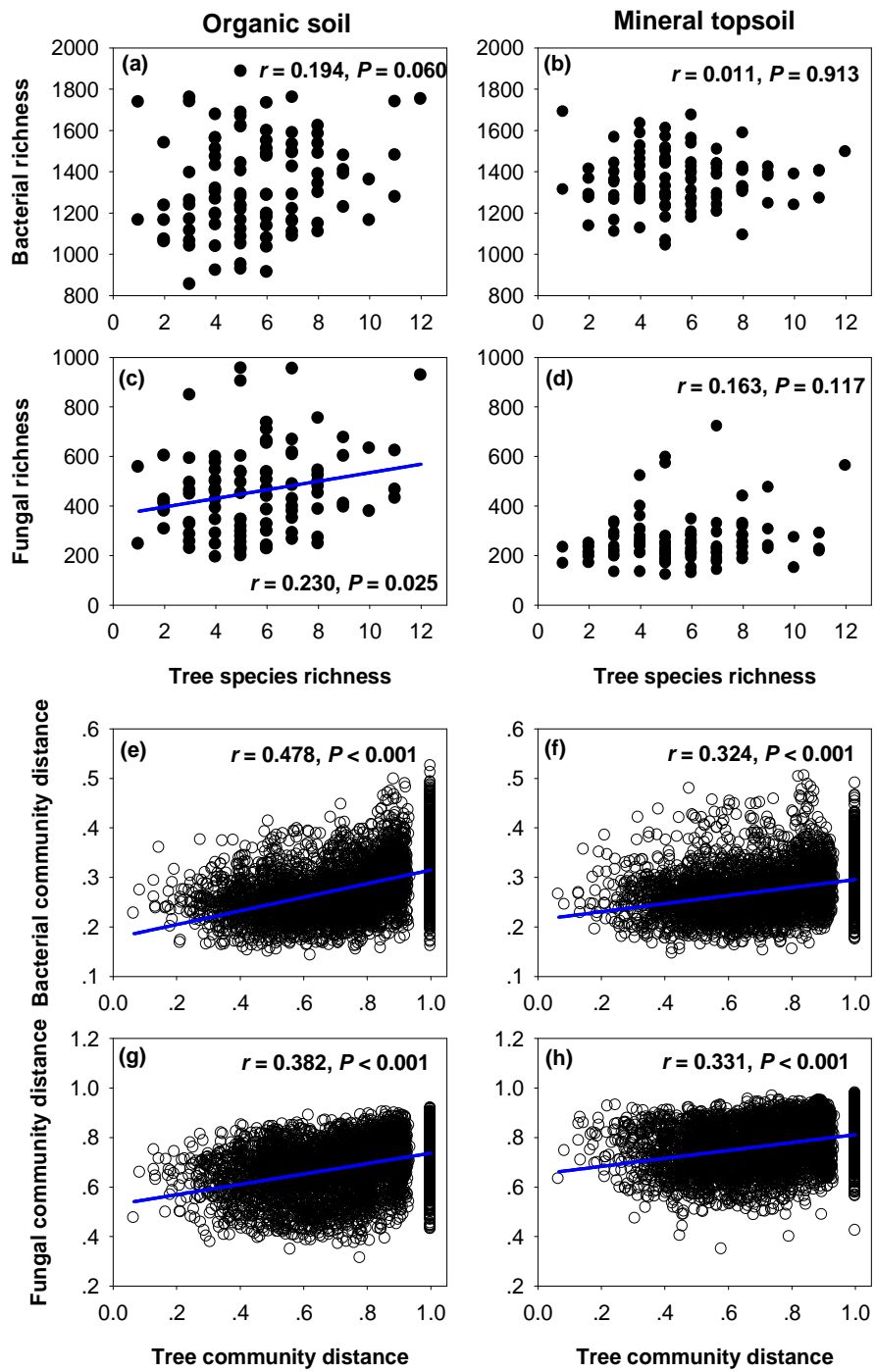
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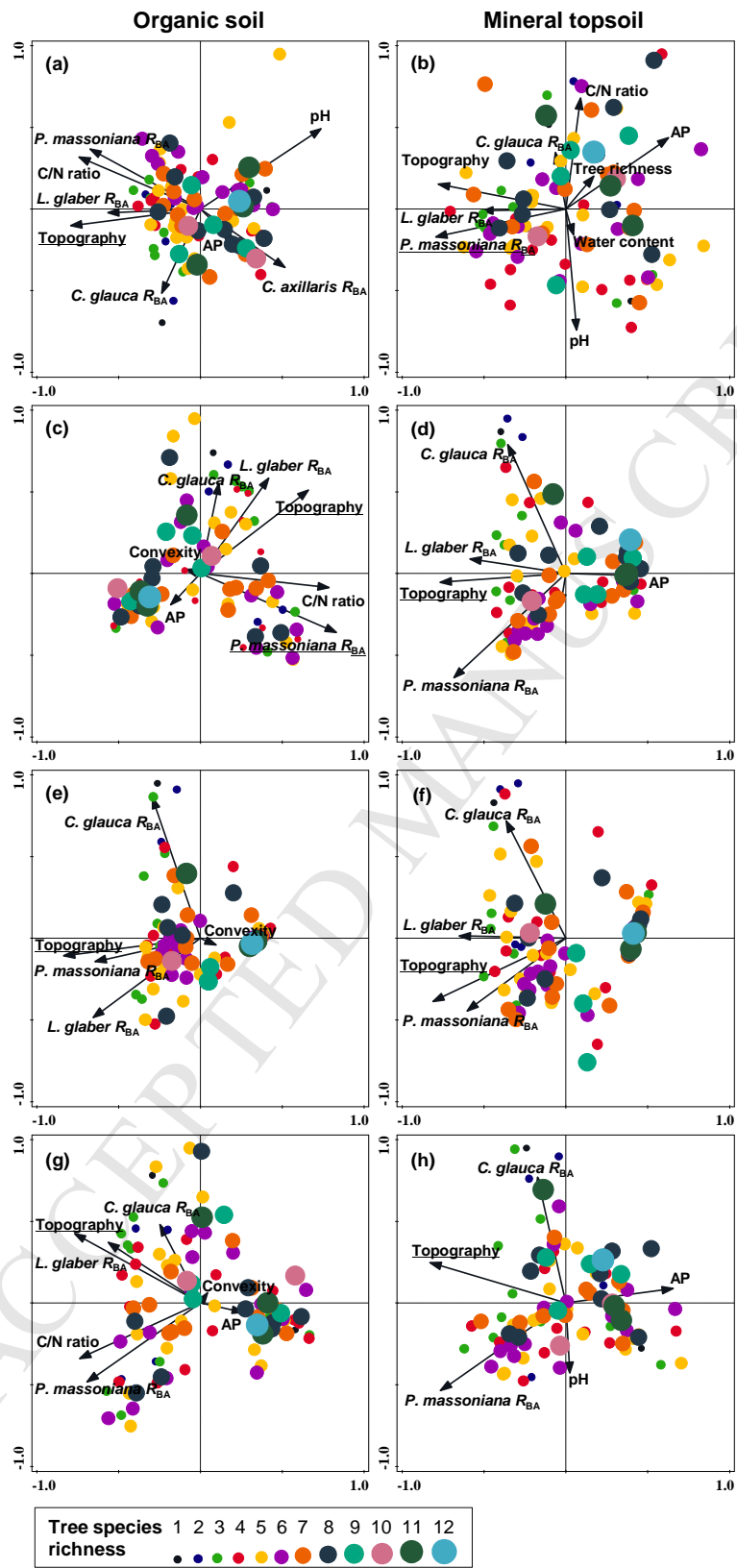
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Highlights

- Soil bacterial and fungal beta diversities couples well with tree beta diversity.
- Effects of tree identity dominate over richness on bacterial and fungal communities.
- Soil pH, nutrient contents and topography were always identified as key drivers.
- Tree species have stronger effect on fungi than bacteria in organic soil.
- Differential responses of ectomycorrhizal and saprotrophic fungi to tree effects.