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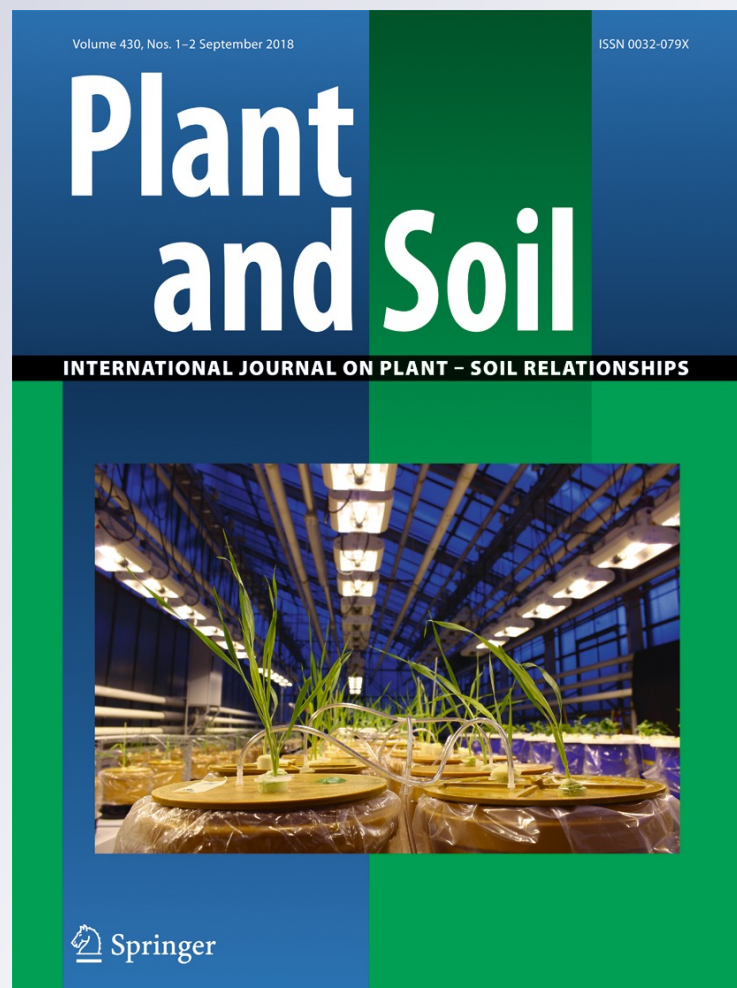
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# Partitioning water source and sinking process of a groundwater-dependent desert plant community

Ran Liu · Yugang Wang · Congjuan Li · Jie Ma · Yan Li

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## Abstract

**Background and aims** Desert plant community is often structured in two distinct layers of woody and herbaceous plants. Partitioning its water source and sinking process remains a key uncertainty in this water-limited ecosystem. Our aims are to partition the evapotranspiration (ET) components into water loss from bare soil, shrub, and herbaceous plants; estimate the contributions of groundwater to ET and shrub layer transpiration ( $T_{\text{shrub}}$ ); and determine the major drivers of ET components in a groundwater-dependent desert plant community in Central Asia.

**Methods** Eddy covariance, static chambers, and microlysimeters were used to measure ET and its components (transpiration and evaporation). Oxygen stable isotope and IsoSource model were used to determine the water source of dominant shrubs (*Haloxylon ammodendron*).

**Results** The seasonal pattern of transpiration ( $T$ ) for the herbaceous layer ( $T_{\text{herb}}$ ) differed markedly from that for  $T_{\text{shrub}}$ .  $T_{\text{herb}}$  reached the maximum values at the

beginning of the growing season, and then decreased to nearly zero at the middle and end of the growing seasons. Conversely,  $T_{\text{shrub}}$  were able to maintain throughout the growing season due to the deep root access to groundwater. In total,  $T_{\text{shrub}}$ ,  $T_{\text{herb}}$ , and evaporation ( $E$ ) were 70, 16, and 82 mm year<sup>-1</sup>, they account for 42, 9, and 49% of total ET during 2014. Most of the groundwater was consumed by  $T_{\text{shrub}}$  (51 mm year<sup>-1</sup>), accounted for 73% of  $T_{\text{shrub}}$ . The contribution of groundwater to ET was 60 mm year<sup>-1</sup>, representing more than 35% of total ET during 2014. The seasonal dynamics of  $T_{\text{shrub}}$ ,  $T_{\text{herb}}$ , and  $E$  were shaped by different drivers:  $T_{\text{shrub}}$ : air temperature;  $T_{\text{herb}}$ : soil water content and herbaceous plant cover;  $E$ : net radiation and precipitation.

**Conclusions** This study demonstrated that a better understanding of the source and sinking process of ET is crucial for predicting hydrological response under ongoing and projected climatic change scenarios in a groundwater-dependent desert plant community.

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**Keywords** Desert ecosystem · Evaporation · Groundwater · Transpiration · Vegetation components

## Introduction

Evapotranspiration (ET) is the largest component of the terrestrial water balance after precipitation (Morillas et al. 2013). It emphasizes the combined source associated with two different components: evaporation from wet surfaces ( $E$ ) and transpiration through plants ( $T$ )

(Law et al. 2002; Anderson et al. 2008; Schlesinger and Jasechko 2014). To partition ET into  $E$  and  $T$  and investigate the factors controlling ET components is one of the most important ecohydrological challenges and it has significant implications for water budgets and also for biogeochemical mechanisms that determine ecosystem function and structure (Austin et al. 2004; Wang et al. 2015). Although ET has been widely measured (e.g., FLUXNET), its partitioning into  $E$  and  $T$  is still subject to debate and it has been challenging to identify and quantify them in the field (Huxman et al. 2005; Jasechko et al. 2013; Sutanto et al. 2014).

In water-limited ecosystems, the plant communities are often structured in two distinct layers of woody and herbaceous plants (Lloyd et al. 2008; Vourlitis et al. 2015; De Arruda et al. 2016). Partitioning ET into  $E$  and  $T$  is not a simple task since these systems composed of two quite different plant life forms (Joffre and Rambal 1993; Baldocchi and Xu 2007). Furthermore, the different life forms are quite variable regarding water use, with deep-rooted woody plants and shallow-rooted herbaceous plants (Huxman et al. 2004). Understanding how these different components control water transfer to the atmosphere (via  $T$ ) and partitioning ET into different components are particularly critical as climate change and vegetation dynamics may have unexpected impacts on these systems (Huxman et al. 2005; Wang et al. 2014). A recent analysis found that ET partitioning varies with woody plant cover due to their effect on  $T$  per unit leaf area and not due to differences in  $E$  (Villegas et al. 2015). Liu et al. (2012) found that herbaceous plant cover strongly affected water budget in a phreatophyte-dominated desert ecosystem. Despite the fact that different plant components can regulate ET, we still lack a solid understanding of the consequences of these potentially important controls on the fundamental coupling of ET.

Groundwater uptake by phreatophyte can be a major water resource of ET, and is a significant part of the water budget in water-limited ecosystems (Fitzpatrick et al. 2001; Scott et al. 2008, 2014; Connor et al. 2013). In recent years, studies focused on quantification groundwater uptake by plants has increased; using remote sensing, eddy covariance, sap flow technique, water table fluctuation method, groundwater modeling, and stable isotopes analysis (Fahle and Dietrich 2014; Kool et al. 2014; Emus et al. 2015; Balugani et al. 2017). Miller et al. (2010) using the water table fluctuation method in an oak savanna, their results showed that

groundwater uptake contributed up to 90% of total ET during the dry season. Barbeta and Penuelas (2017) presents an example using stable isotopes and mixing models to quantify the relative contribution of groundwater to plant transpiration; they defined that plant use of groundwater represented on average 49% in dry seasons and 28% in wet seasons. A better understanding of how groundwater uptake by plants contributes to ET and its components are needed, particularly under projected climatic change scenarios in water-limited ecosystems associated with groundwater table fluctuations. More specifically, improved representation of ET resource derived from groundwater will improve understanding and modeling of large-scale ecological, hydrological, and atmospheric processes.

The Gurbantonggut Desert is the second largest desert in China. Its vegetation community composition is divided into woody (referred to as “shrub” below) and herbaceous layers (Li et al. 2013). The herbaceous layer is composed of spring annuals, summer annuals, and perennials, all of which use PPT as the main water resource (Huang and Li 2015). The shrub layer is dominated by phreatophytes (which depend primarily on groundwater) and respond in a non-linear fashion to growing season precipitation (Elmore et al. 2006; Dai et al. 2015). This typical “two-layer” structure and diversified water-use strategies by different plant life forms allow the assessment of partition source of ET, originating from bare soil, shrub, and herbaceous layers, respectively, and estimating the contribution of groundwater to ET and its components in a groundwater-dependent desert plant community. This study uses a combination of eddy covariance (EC), static chambers, micro-lysimeters, and oxygen stable isotope measurements to (1) define the seasonal patterns in ET components by bare soil, shrub and herbaceous layers; (2) assess the contributions of groundwater to ET and its components; and (3) determine the major drivers of ET components.

To meet these objectives, we will evaluate two hypotheses: firstly, seasonal patterns and main drivers of each ET components would differ, as shrub and herbaceous plants have different phenology and rooting depth (Bertram and Dewar 2013). Secondly, groundwater is a relevant part to the total water balance in these desert ecosystems, as dominated shrub plants depend on groundwater as the primary water source (Dai et al. 2015). This study aimed to increase our understanding to water budget in groundwater-dependent desert plant



community, which will result in better predictions of these processes under ongoing and projected climatic change scenarios.

## Materials and methods

### Site description

This study was carried out at the southern periphery of the Gurbantonggut Desert in Central Asia (44° 17' N, 87° 56' E, 475 m a.s.l.). This region has a continental arid temperate climate, with a hot, dry summer and a cold winter. The air temperature ranges from a minimum of –42.2 °C in winter to a maximum of 44.2 °C in summer, and the annual mean temperature is 6.6 °C. Annual mean precipitation is 163 mm, around 75% of which falls in the growing season (May–October). Precipitation prior the growing season (November–April) is mostly in the form of snow, which covers the soil for most of this period and melts in April (Zhou et al. 2012). Soils are desert solonetz in 0–100 cm, with eolian sandy soil at the top. The water table fluctuates between 3- and 5-m depths. The water table change has yearly amplitude of 1 m, shallowest at the end of spring (May–June), which is recharged by snowmelt runoff from the Tianshan Mountains, and then getting deeper when the dry summer begins. The plant community is dominated by the deep-rooted desert shrub *Haloxylon ammodendron*, which depends on groundwater to survive (Dai et al. 2015). Even when the water table goes down (such as summer period), the soil at that depth was still wet for years; thus, fluctuation of groundwater at this scale would not affect water supply to the deep-rooted desert shrubs. The shallow-rooted herbaceous component of the community can be divided into two groups: spring annuals, and summer annuals and perennials. The spring annuals generally use snowmelt water and spring precipitation to grow, and they are dominated by *Alyssum linifolium*, *Schismus arabicus*, *Lactuca undulata*, and *Erodium oxycarrhynchum* (Fan et al. 2013; Huang and Li 2015). Summer annuals and perennials (including *Salsola nitraria*, *Suaeda salsa*, *Salicornia europaea*, and *Ceratocarpus arenarius*) use summer precipitation as the main source of water. In this study, the growing season (May–October) was divided into 60-day blocks representing the beginning (May–June; when the community is dominated by shrubs and spring annuals), middle (July–August; dominated by

shrubs, summer annuals and perennials), and the end of the growing season (September–October; dominated by shrubs) (Liu et al. 2016).

### Eddy covariance

EC measurements have been conducted at the site since 2010. The system consisted of a 3-D ultrasonic anemometer-thermometer (STA-5055, KAIJO Corporation, Tokyo, Japan) and an open-path infrared gas (CO<sub>2</sub>/H<sub>2</sub>O) analyzer (LI-7500, LI-COR Inc., Lincoln, NE, USA) at a height of 3 m. The former measures instantaneous fluctuations of the horizontal, vertical, and lateral wind speed and the virtual temperature ( $T_a$ ), while the latter measures instantaneous fluctuations in the concentrations of CO<sub>2</sub> and water vapor. The data were measured at 10 Hz, and logged with a CR23X data-logger (Campbell Scientific Inc., Logan, UT, USA). Additional meteorological instruments measured air temperature and humidity (MP300, Campbell Scientific Ltd., Shepshed, UK), soil temperature (107 L, Campbell Scientific Inc., Logan), photosynthetic active radiation (LI-190SB, LI-COR Inc.), and net radiation (CNR4, Kipp & Zonen). Two soil heat flux plates (HFP01SC, Hukseflux, The Netherlands) installed 5 cm below the soil surface measured soil heat flux. These data were logged with a CR23X data-logger (Campbell Scientific Inc., Logan, UT, USA). Hourly measurements of volumetric soil water content integrated over 0–30 cm were made at beneath shrubs and interplant spaces (ECH<sub>2</sub>O probes, Decagon Devices, Pullman, WA, USA) during the 2014 growing season.

The software EdiRe ([www.geos.ed.ac.uk/abs/research/micromet/EdiRe](http://www.geos.ed.ac.uk/abs/research/micromet/EdiRe); developed by the University of Edinburgh, UK) was used to calculate eddy fluxes using the following standard corrections: spike removal, planar rotation (Wilczak et al. 2001), auto-detection of the time delay between different sensors, spectral correction for sensor separation and sensor path length (Moore 1986), and the Webb–Pearman–Leuning correction (Webb et al. 1980). To minimize calculation error, half-hour flux data were rejected if one of the following criteria was met: (1) incomplete half-hour measurements mainly caused by a power failure, (2) rain events, and (3) statistical outliers outside the  $\pm 5$  standard deviation range of a 10-day running mean window. Approximately 30–45% of flux data were eliminated by the screening criteria, which caused data gaps consistent with other systems (Xu and Baldocchi

2004; Wohlfahrt et al. 2008). Gaps in the diurnal ET record were filled with the mean ET taken over the surrounding 14 days at the same time of the day (Kochendorfer et al. 2011).

#### Chamber measurements

Within the footprint area of the EC tower and in a southeasterly direction, plots were installed for water fluxes of the shrub and herbaceous layers measurements during the 2014 growing season (May–October). Two treatments were applied: control (C), where the fluxes were measured on undisturbed, and separated (S), where above- and below-ground fluxes were experimentally separated. There were 8 and 16 replicate plots of two treatments for the shrub and herbaceous layers. For the shrub layer measurements, eight healthy plants with an average canopy size were randomly selected (resulting in four plots for C and four for S treatment). At each shrub plot, a stainless steel collar (2 m × 2 m) was inserted into the soil (15-cm depth) to provide a permanent measurement plot. Plastic sheeting (2 m × 3 m) was used to separate the above- and below-ground fluxes during the measurement period. In the center of the sheet, the plastic was sealed around the trunk with sealant. In between measurements, the plastic was folded loosely around the trunk.

For the herbaceous layer, 1 day before the start of each experimental measurement, 16 herbaceous plots with stainless steel collars (0.5 m × 0.5 m) (eight for C and eight for S treatment) were installed 15 cm deep in the soil. At the same time, in the S plots, plant above-ground components were cut off in order to separate plant above- and below-ground components.

Gas exchange measurements were made at 5-day intervals during the 2014 growing season, and each measurement period lasted approximately 24 h: from 07:00 a.m. to 06:00 a.m. the next day. A custom-made transparent polycarbonate chamber (length × width × height: shrub, 2 m × 2 m × 2 m; and herb, 0.5 m × 0.5 m × 0.3 m) was sealed on the previously inserted collar, and H<sub>2</sub>O fluxes inside the chamber were measured with the attached infrared gas analyzer (Li-840, LI-COR Inc.). Two electric fans were installed in the chamber to maintain steady temperatures (± 0.5 °C compared to ambient temperature) and humidity during measurement. Water flux under natural radiation was taken at 10-s intervals during a 120-s period (usually starting < 40 s after the equipment was attached).

During the daytime, transparent chamber measurements in C plots directly obtained ET<sub>shrub</sub> and ET<sub>herb</sub> values. In S plots, they produced T<sub>shrub</sub> data for the shrubs, T<sub>herb</sub> were obtained with difference between C and S plots in herbaceous layer. The water fluxes (*F*) were calculated using the following equation:

$$F = \frac{P_0 \times V}{R \times S \times (T_a + 273.15)} \times \frac{dC}{dt} \quad (1)$$

where *F* is the H<sub>2</sub>O (mmol H<sub>2</sub>O m<sup>-2</sup> s<sup>-1</sup>) exchange rate, *V* is the volume of the chamber, *P*<sub>0</sub> is the initial pressure, *R* is the universal gas constant (8.3145 J mol<sup>-1</sup> K<sup>-1</sup>), *S* is the inner surface area of the chamber base, *T*<sub>a</sub> is the mean air temperature in the chamber during measurement, and *dC/dt* is the slope of the least squares linear regression of H<sub>2</sub>O concentration against time. Fluxes were accepted when there was a significant correlation between H<sub>2</sub>O concentration and enclosure time (*R*<sup>2</sup> > 0.95, *P* < 0.05).

#### Bare-soil evaporation

Bare-soil evaporation (*E*) was measured throughout the growing season by micro-lysimeters in 2014. Cylinders made of PVC with an inner diameter of 15.6 cm and height of 15.2 cm. Every day during the growing season, 20 cylinders were randomly pushed into soil (including beneath shrubs and interplant spaces), the soil-filled cylinder was then removed, cleaned, sealed with a rubber belt to make it water-tight, weighed, and returned to its original location. We weighed the cylinder again after 24 h, and calculated daily bare-soil *E* as the difference between the two weights.

#### Oxygen stable isotope measurements

Oxygen stable isotope measurements were used to determine the seasonal water use strategy of dominant shrubs (*H. ammodendron*). Four healthy *H. ammodendron* plants with average canopy size were randomly selected. During May–October, one suberized twig from each plant was sampled in the middle of each month for xylem water. Cut twigs were quickly decorticated and placed into screw-cap glass vials sealed by Parafilm and stored in a freezer for xylem water extraction and isotopic analysis. At the same time, soil samples were collected adjacent to sampled plants. Soil samples were collected at 10-, 20-, and 40-cm intervals

from 0- to 20-, 20- to 100-, and 100- to 400-cm depths, respectively. Four replicates for each layer were sampled and sealed in glass vials and frozen for soil water extraction and isotopic analysis. Every month, groundwater samples were collected from a nearby well. After each rain event (> 2 mm), water samples were collected. Upon collection, water samples were sealed and stored in a freezer for analysis.

Xylem water and soil water were extracted using a cryogenic vacuum distillation extraction line and the extracted water was stored in sealed glass vials at 2 °C. The oxygen isotopic composition of the water was determined by a liquid water isotope analyzer (Eq. 2) (LWIA, DLT-100, Los Gatos Research Inc., Mountain View, CA, USA). The  $\delta^{18}\text{O}$  values of the xylem water were corrected following Schultz et al. (2011) to eliminate the effect of methanol and ethanol contamination.

$$\delta^{18}\text{O} = \left( \frac{R_{\text{sample}}}{R_{\text{standard}} - 1} \right) \times 1000\% \quad (2)$$

where  $R_{\text{sample}}$  and  $R_{\text{standard}}$  are the oxygen stable isotopic composition ( $^{18}\text{O}/^{16}\text{O}$  molar ratio) of the sample and the standard water (Standard Mean Ocean Water, SMOW), respectively.

The isotopic values of xylem water were compared with the potential water sources using the IsoSource model (Phillips and Gregg 2003) (<http://www.epa.gov/wed/pages/models/stableIsotops/isosource/isosource.htm>). For each sample time, we calculated the mean and possible range of water utilization. Source increment was defined as 1% and mass balance tolerance was defined as 0.1%. For details, see Dai et al. (2015).

### Investigation of plant cover

Plant cover was determined between 1 May and 31 August at 2-week intervals during the 2014 growing season. To investigate the shrub layer cover, 24 main plots of 20 m × 20 m were randomly established. The widths of all shrub crowns were measured in east–west and north–south directions, and shrub cover was calculated, assuming an elliptical coverage. At the same time, three sub-plots (each 1 m × 1 m) across the diagonal within each main plot were chosen to estimate the herbaceous layer cover using the point intercept method (Kershaw and Looney 1983). The number of points that

intercepted a part of a plant were counted and expressed as a percentage of the total number of points recorded per plot (i.e., 100).

### Up-scaling water flux

Simple area-weighted up-scaled models were established to upscale the fluxes measured by the lysimeters and chamber methods and to quantify the ecosystem scale water fluxes contributed by the shrub and herbaceous layers. Based on the established plant cover of the different layers, the following area-weighted models (Eqs. 3–5) were used at the beginning (B), middle (M), and end (E) of the growing season, respectively:

$$F_B = 0.45F_{\text{shrub}} + 0.37F_{\text{herb}} + 0.18F_{\text{soil}} \quad (3)$$

$$F_M = 0.43F_{\text{shrub}} + 0.11F_{\text{herb}} + 0.46F_{\text{soil}} \quad (4)$$

$$F_E = 0.38F_{\text{shrub}} + 0.04F_{\text{herb}} + 0.52F_{\text{soil}} \quad (5)$$

### Determining water balance

The water balance of the study area over a period of time can be expressed as follows:

$$\text{PPT} + \text{GW} = \text{ET} + R + D + \Delta W \quad (6)$$

where PPT is precipitation, GW the contribution from groundwater,  $R$  is surface runoff,  $D$  is deep drainage and  $W$  is the change in water storage within the soil layer.  $R$  and  $D$  can be neglected as the study site is flat and precipitation was not intense. The high evaporative demand and discontinuous rain pulse events result in little or no soil water storage change on annual scales. Therefore, the contributions of groundwater to ET can be defined of difference between ET and precipitation.

### Statistical analyses

All descriptive statistics (mean and standard errors, SE) and statistical analyses (including linear regression, one-way and two-way ANOVA) were performed with the SPSS 13.0 statistical package (SPSS Inc., Chicago, IL, USA). The significant level was set to 0.05. Partial least square (PLS) regression analysis (Geladi and Kowalski 1986) was conducted to investigate the model

component structure among the major drivers— independent variables including various measures of  $R_n$ , PPT, temperature, vapor pressure deficit (VPD), SWC, plant cover, and soil cover—explaining the seasonal variations of  $T_{\text{shrub}}$ ,  $T_{\text{herb}}$ , and  $E$  (dependent variables). All means for variables are averaged for 30-day periods; except PPT which was the total for 30-day periods. The PLS regression analysis was carried out using SIMCA software v. 11.5 (UMETRICS, Umea, Sweden), which includes four steps: data normalization ( $Z$ -score normalization method), multiple correlation information and system nose elimination, main factors determination, and PLS regression model establishment. The Origin 8.0 software package (Origin Lab Ltd., Guangzhou, China) was used for graphical output.

## Results

### Meteorological conditions and ecosystem ET

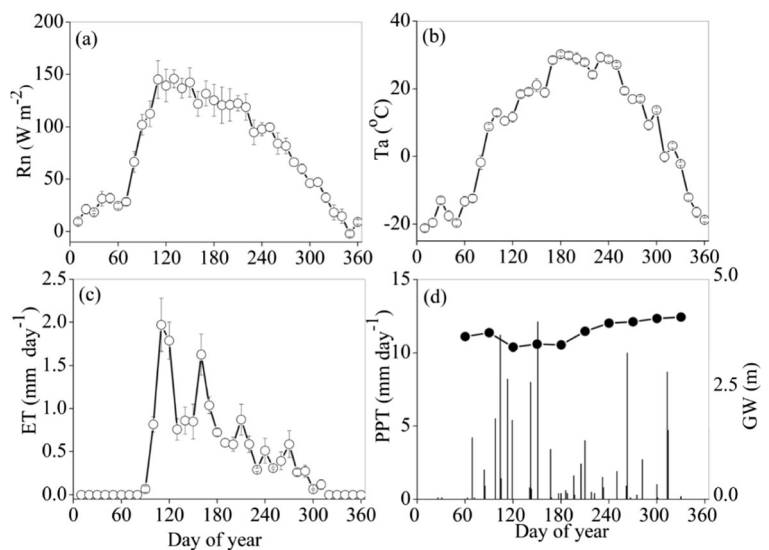
The seasonal variations in the major meteorological conditions and ET in 2014 were presented in Fig. 1. The seasonal trends of the daily average  $R_n$  and  $T_a$  were similar, with minimum values ( $-2$  to  $-11$   $\text{W m}^{-2}$ ) in winter and maximum values ( $119$  to  $146$   $\text{W m}^{-2}$ ) in summer. The daily maximum and minimum  $T_a$  was within  $24$ – $30$   $^{\circ}\text{C}$  and  $-12$  to  $-21$   $^{\circ}\text{C}$  (Fig. 1a, b). Annual precipitation was  $108$  mm,  $55$  mm below the long-term average of  $163$  mm for  $1985$ – $2010$ . Seasonal variation in water table depth was small, with the

minimum of  $3.4$  m in spring and early summer, and the maximum of  $4.2$  m in other periods (Fig. 1d). ET showed a seasonal trend with daily higher rates at the beginning of the growing season ( $1.97$   $\text{mm day}^{-1}$ ). In winter, when  $T_a$  was below freezing, plants were dormant or senescent and ET decrease near zero (Fig. 1c).

### Cross-validation of methods and partitioning ET components

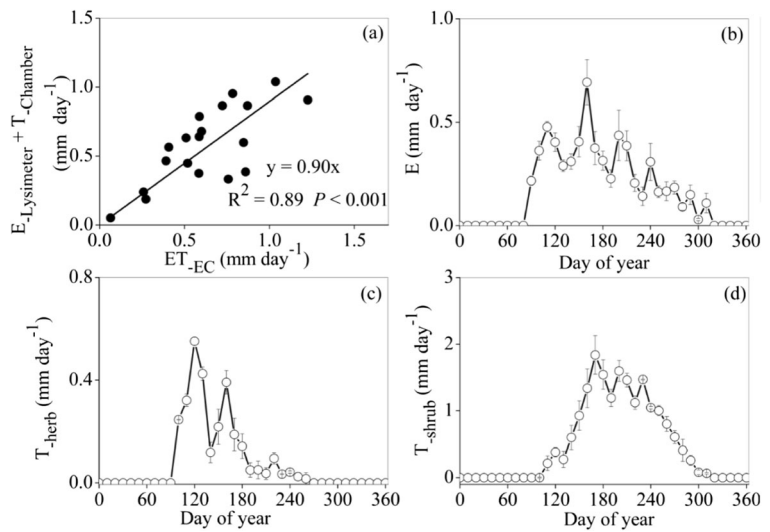
Shrub and herbaceous layer  $T$  ( $T_{\text{shrub}}$  and  $T_{\text{herb}}$ ) and bare-soil  $E$  measured by the chamber and micro-lysimeters were up-scaled to ecosystem ET using simple area-weighted models (Eqs. 3–5) and these were compared with the ET measured by EC (Fig. 2a). The result demonstrated that these methods cross-validated very well, with a significant linear relationship ( $R^2 > 0.89$ ,  $P < 0.05$ ) (Fig. 2a). The seasonal pattern of ET components differed significantly ( $F = 10.87$ ,  $P < 0.05$ ), and average  $E$ ,  $T_{\text{shrub}}$ , and  $T_{\text{herb}}$  also differed significantly ( $F = 39.93$ ,  $P < 0.05$ ). Bare-soil  $E$  reached a maximum ( $0.69$   $\text{mm day}^{-1}$ ) at the beginning of the growing season, and decreased to nearly zero at the end of the growing season (Fig. 2b). The seasonal patterns between  $T_{\text{shrub}}$  and  $T_{\text{herb}}$  differed markedly (Fig. 2c, d). The maximum daily  $T_{\text{herb}}$  was  $0.55$   $\text{mm day}^{-1}$  after spring snowmelt period around day of year 120, and decreased thereafter till the middle and the end of the growing season (Fig. 2c).  $T_{\text{shrub}}$  showed a different trend: at the beginning of the growing season, it gradually increased, reached a maximum value of  $1.84$   $\text{mm day}^{-1}$ , remained stable

**Fig. 1** Seasonal variations in net radiation ( $R_n$ ) (a), air temperature ( $T_a$ ) (b), evapotranspiration (ET) (c), precipitation (PPT), and groundwater table depth (GW) (d) at the study site during 2014. For  $R_n$ ,  $T_a$ , and ET, symbols represent the mean  $\pm$  SE for 10-day periods





**Fig. 2** Relationship for daily evapotranspiration (ET) between eddy covariance ( $ET_{EC}$ ) and chamber and micro-lysimeters ( $E_{Lysimeter} + T_{chamber}$ ) (a), seasonal variations of bare-soil evaporation ( $E$ ) (b), transpiration of the herbaceous ( $T_{herb}$ ) (c) and shrub ( $T_{shrub}$ ) (d) layers during the growing season. Statistically significant linear relationships at  $P < 0.05$ . Symbols in b–d represent the mean  $\pm$  SE for 10-day periods



around  $1.2 \text{ mm day}^{-1}$  during the middle, then decreased to zero at the end of the growing season (Fig. 2d).

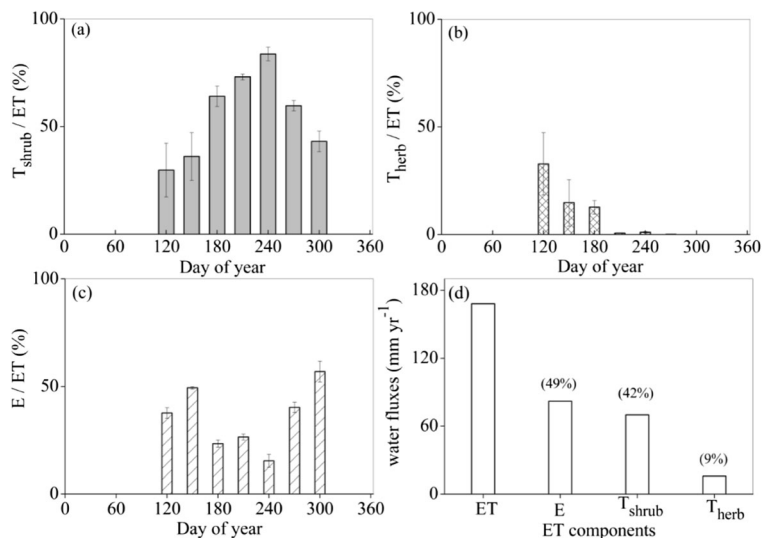
Quantification of the seasonal variations in  $T_{shrub}/ET$ ,  $T_{herb}/ET$ , and  $E/ET$  had been given in Fig. 3. The seasonal variation of  $T/ET$  differed among the shrub and herbaceous layers. In the shrub layer,  $T_{shrub}/ET$  exhibited an increasing trend and reached its peak value around July, with a range of 30–84% (Fig. 3a). In contrast,  $T_{herb}/ET$  showed a decreasing trend, reached a maximum in May with 33%; it then decreased gradually, reaching near zero in early of August (Fig. 3b). Seasonal variation in  $E/ET$  was relatively stable, with range of 15–57% (Fig. 3c). Average  $T_{shrub}/ET$ ,  $T_{herb}/ET$ , and  $E/ET$  differed significantly throughout the growing season, ( $F = 12.48$ ,

$P < 0.05$ ). In total,  $T_{shrub}$ ,  $T_{herb}$ , and  $E$  were 70, 16, and  $82 \text{ mm year}^{-1}$ , and they account for 42, 9, and 49% of total ET, during 2014 (Fig. 3d).

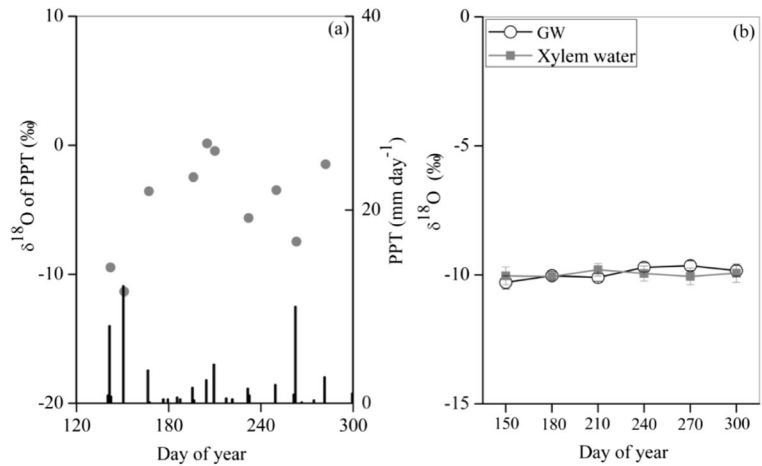
The contribution of groundwater to ET components

In the growing season, the  $\delta^{18}O$  values of precipitation, groundwater and xylem water were showed in Fig. 4. The  $\delta^{18}O$  values of precipitation ranged from  $-12.3$  to  $0.2 \text{ ‰}$  during the growing season, with the average value of  $-4.8 \pm 1.2 \text{ ‰}$  (Fig. 4a). The small seasonal changes in the  $\delta^{18}O$  values of xylem water mirrored those seen in the groundwater with the values around  $-9.9 \pm 0.1 \text{ ‰}$  (Fig. 4b).

**Fig. 3** Seasonal variations in  $T_{shrub}/ET$  (a),  $T_{herb}/ET$  (b), and  $E/ET$  (c), and total ET,  $E$ ,  $T_{shrub}$ , and  $T_{herb}$  (d) at the study site during 2014. The value in bracket represents the percentage of  $E/ET$ ,  $T_{shrub}/ET$ , and  $T_{herb}/ET$ , respectively. Symbols in a–c represent the mean  $\pm$  SE for 30-day periods



**Fig. 4** Seasonal variation of the  $\delta^{18}\text{O}$  values of precipitation (PPT) (a), groundwater (GW) and xylem water (b) during the growing season. Error bars represent SEs of mean  $\delta^{18}\text{O}$  values ( $n = 4$ )



The IsoSource model was used to determine water-use strategy of *H. ammodendron* shrubs and showed that they exhibited a stable trend in water use during the growing season (Fig. 5a). During May–October, *H. ammodendron* use similar water source: greater than  $85\% \pm 5\%$  (range 86–98%) of the xylem water was derived from groundwater, with the possible ranges of soil water less than  $9\% \pm 6\%$  (Fig. 5a). This partitioning of water sources was rather stable from month to month, without significant variance throughout the growing season ( $P > 0.05$ ).

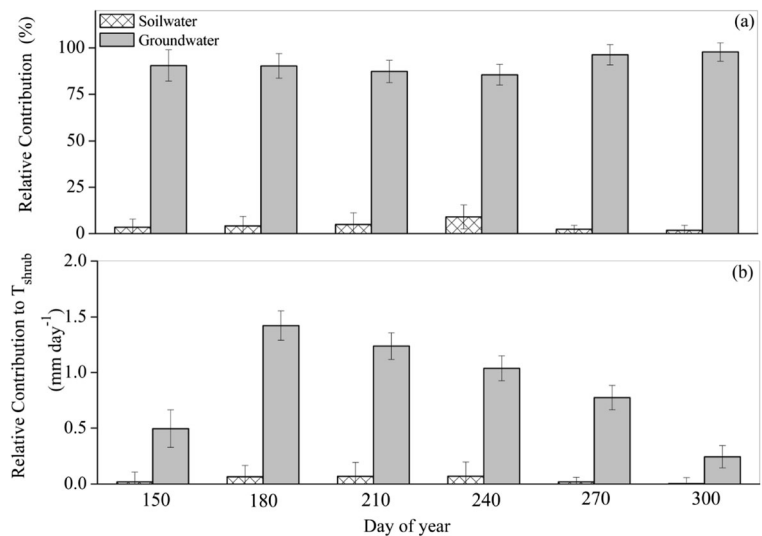
Combining the data obtained from  $T_{\text{shrub}}$  with the chamber measurements allowed quantification of the contribution of groundwater to  $T_{\text{shrub}}$ . Seasonal variation in groundwater contribution to  $T_{\text{shrub}}$  showed a single-peak pattern, with the maximum in June ( $1.42 \pm$

$0.13 \text{ mm day}^{-1}$ ), then decreased gradually until the minimum of  $0.24 \pm 0.09 \text{ mm day}^{-1}$  in October (Fig. 5b). In addition, there was no significant linear relationship between groundwater supply and  $T_{\text{shrub}}$  ( $R^2 = 0.50$ ,  $P = 0.12$ ) (Fig. 5, data not shown).

The major drivers of seasonal variations in ET components

The main factors and regression coefficients were determined by principal components analysis (PCA) and canonical correlation analysis (CCA) in PLS regression process (Fig. 6). Components were determined by cross-validation. The results showed that  $T_{\text{shrub}}$  had one component; it can explain and predict 80 and 72% of  $T_{\text{shrub}}$  (Fig. 6a).  $T_{\text{herb}}$  and  $E$  had two components; they can

**Fig. 5** Seasonal changes in relative contribution of potential water resources for *H. ammodendron* shrubs (a) and groundwater and soil water contributions to shrub transpiration ( $T_{\text{shrub}}$ ) (b) during the growing season. a Column heights represent the mean value of relative contributions and bars represent the ranges of minimum/maximum, both were calculated using the IsoSource model. b Symbols in represent the mean  $\pm$  SE for 30-day periods

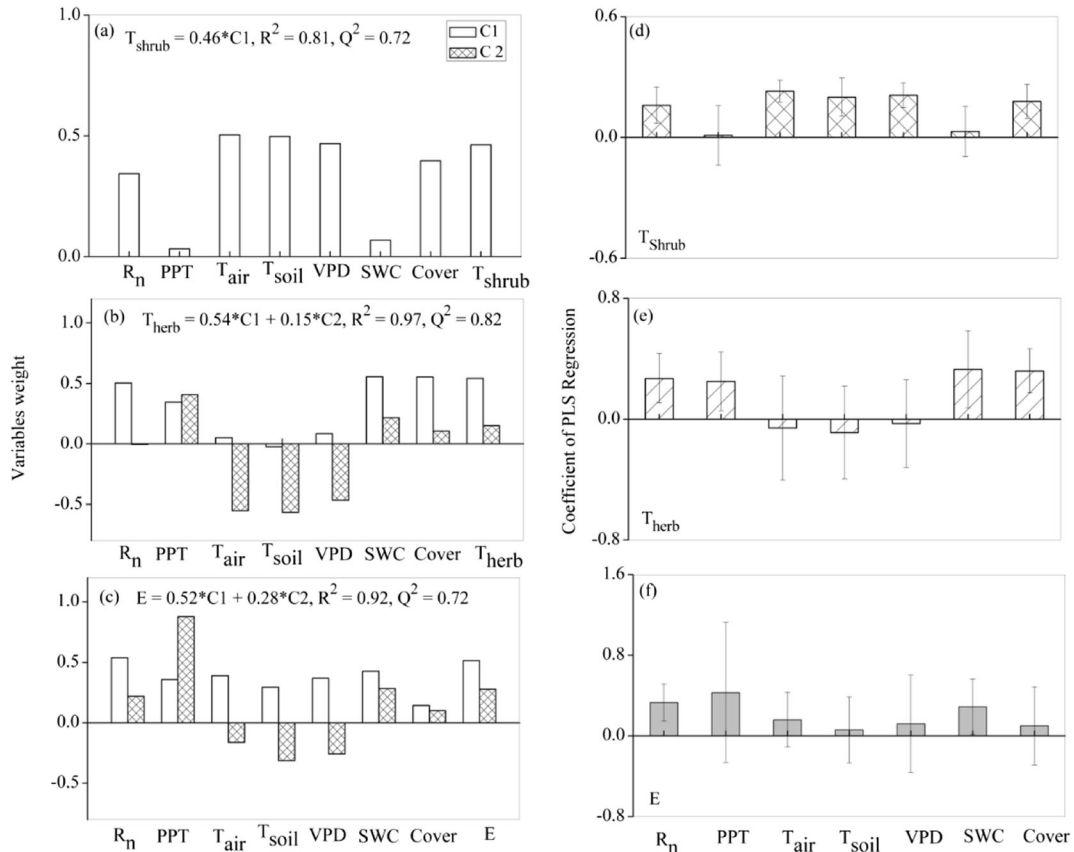


explain and predict  $T_{\text{herb}}$  and  $E$  at more than 90 and 70% (Fig. 6b, c). Combining CCA regression models, we found  $T_{\text{air}}$  was the main driver of  $T_{\text{shrub}}$ , SWC and herbaceous plant cover were the main drivers of  $T_{\text{herb}}$ , and  $R_n$  and PPT were the main drivers of  $E$  (Fig. 6). Using the PLS regression models, the comparison of observed and predicted ET components showed that there were significant linear relationship between observed and predicted values ( $T_{\text{shrub}}$ :  $R^2 = 0.79$ ,  $T_{\text{herb}}$ :  $R^2 = 0.96$ ,  $E$ :  $R^2 = 0.89$ ,  $P < 0.05$ ) (Fig. 7).

### Discussion

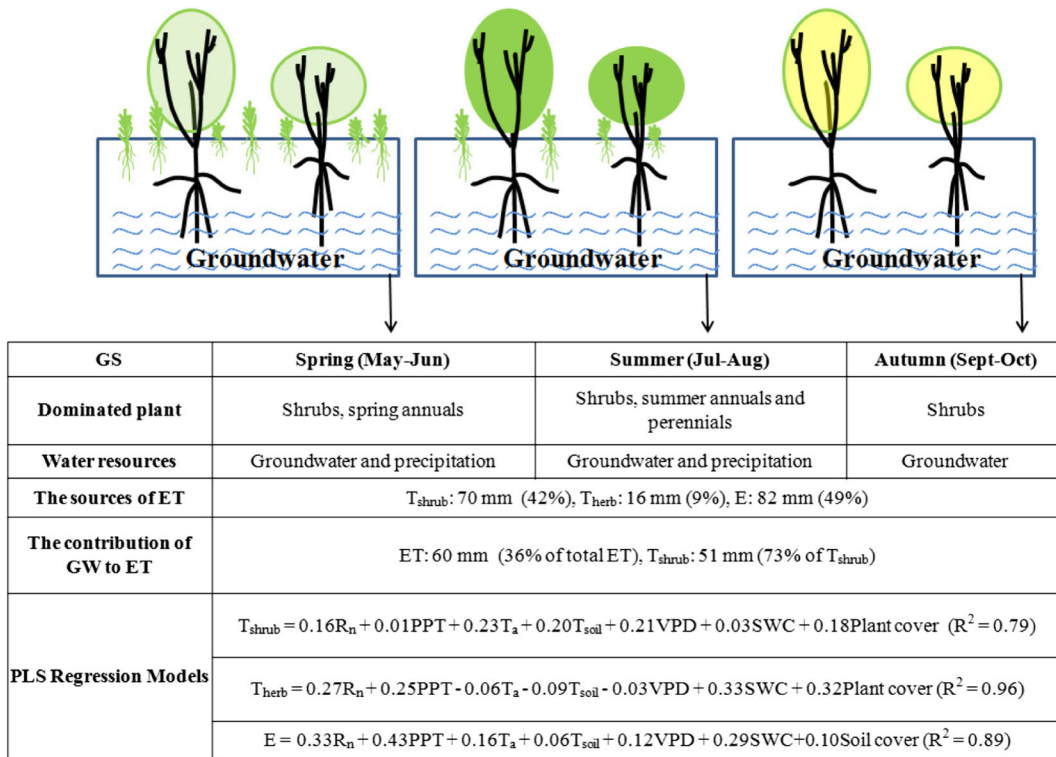
Partitioning source and sinking process of ET is important to accurately assess water balance in water-limited ecosystems. Using diverse methods including EC, static chambers, micro-lysimeters, and oxygen stable isotope

measurements, we partitioned ET into  $T_{\text{shrub}}$ ,  $T_{\text{herb}}$ , and  $E$ , quantified the contribution of groundwater to ET components, and found the main drivers of ET components (summarized in Fig. 7). Our results provide quantitative evidence for previous hypotheses that seasonal patterns and main drivers of ET components differ among each other, and groundwater uptake by phreatophytes is a relevant part to the total water balance in these desert ecosystems. Our chamber measurements were conducted during a relatively dry year (108 mm precipitation), compared to the multi-year average precipitation of 163 mm at the study site (Huang and Li 2015; Liu et al. 2016). However, this did not affect our verification of the two hypotheses, as shrub plants depend on groundwater as their primary water source, and the stable snow cover is the key determinant to the germination and rapid growth of herbaceous plants (Fan et al. 2013; Dai et al. 2015; Huang and Li 2015).



**Fig. 6** Determining the main factors (a–c) and regression coefficients in PLS Regression process.  $T_{\text{shrub}}$ : shrub layer transpiration,  $T_{\text{herb}}$ : herbaceous layer transpiration,  $E$ : bare-soil evaporation,  $R_n$ : net radiation, PPT: precipitation,  $T_a$ : air temperature,  $T_{\text{soil}}$ : soil temperature, VPD: vapor pressure deficit, SWC: soil water

content. All means for variables are averaged for 30-day periods; except PPT which is the total for 30-day periods. “C” represents component.  $R^2$  represents the fraction of explanation of  $T_{\text{shrub}}$ ,  $T_{\text{herb}}$ , and  $E$  by the components,  $Q^2$  represents the fraction of prediction of  $T_{\text{shrub}}$ ,  $T_{\text{herb}}$ , and  $E$  by the components



**Fig. 7** Conceptual diagram illustrating the dominant plant type, water resource, the sources and sinking process of ET, and PLS regression models for shrub layer transpiration ( $T_{shrub}$ ), herbaceous

layer transpiration ( $T_{herb}$ ), and bare-soil evaporation ( $E$ ). Different colors in shrub crowns indicate different growing stages (light green is start, green is middle, and yellow is end)

Therefore, our conclusions are representative of the desert ecosystems, which have two stable water sources: groundwater and snow melted water.

### Seasonal variations in ET components

Our study used combined methods to show that the  $T_{shrub}$  and  $T_{herb}$  differed markedly in seasonal variation (Figs. 2 and 3). The different water-use strategies by the shrub and herbaceous layers probably had considerable effects on the unequal seasonal patterns of  $T_{shrub}$  and  $T_{herb}$  throughout the growing season. The shrub layer was dominated by phreatophytes that depend on groundwater as their main water source (Figs. 4 and 5), which results in no-water-limited, non-rainfall-dependent physiological activity (photosynthesis and transpiration) throughout the hot and dry parts of the season (Paço et al. 2009; Scott et al. 2014). In contrast, the herbaceous layer employs different water-use strategies through the growing season. The beginning of the season combines sufficient soil water availability

(snowmelt water and spring precipitation) with increasing temperatures, which allows spring annuals to grow at surprisingly fast rates. This causes higher transpiration between May and the mid-June (Fan et al. 2013; Huang and Li 2015). After the spring annuals die, other slower growing herbaceous components take over (summer annuals and perennials), and they often depend on small summer rain events (< 5 mm) of short duration to survive. Such periods of lower  $T_{herb}$  can be explained in two ways. First, small rain events cannot reach plant roots and return to the atmosphere by soil E (Huxman et al. 2004). Second, drought events can lead to plant senescence or damage to cell organs, and reduce plant water-use efficiency (Smith et al. 1997).

### The contribution of groundwater to ET components

Groundwater is a major water resource of ET in water-limited ecosystems (Fitzpatrick et al. 2001; Liu et al. 2012). However, groundwater resource is often underestimated, because  $T_{shrub}$  from roots tapping the

water table is not taken into account (Favreau et al. 2009; Miller et al. 2010; Balugani et al. 2017). Our results showed that for the shrub layer, greater than 85% (range of 86–98%) of xylem water was derived from groundwater. Most of the groundwater was consumed by  $T_{\text{shrub}}$  (51 mm year<sup>-1</sup>), accounted for 73% of  $T_{\text{shrub}}$ . The contribution of groundwater to ET was 60 mm year<sup>-1</sup>, represented more than 35% of total ET during 2014. The high  $T_{\text{shrub}}$  values are not surprising, since deep-rooted plants in water-limited ecosystem often rely on deep rooting and on the ability to tap water from permanent water tables (Walter 1973; Jackson et al. 1996; Balugani et al. 2017). These results are consistent with reports from other water-limited ecosystems. For example, Miller et al. (2010), using the water table fluctuation method in an oak savanna in the western Sierra Nevada foothills, found groundwater uptake rates of 4–25 mm month<sup>-1</sup> that accounted for up to 80% of total ET. David et al. (2007) used stable isotope analyses in xylem water, soil water, and groundwater in Mediterranean evergreen oak woodlands of southern Portugal to show that groundwater withdrawal accounted for more than 70% of total tree  $T$ . As such, groundwater contributions to ET are important and may represent a large proportion of total ET, at least in water-limited ecosystems.

### The major drivers of ET components

The seasonal variations of ET components were shaped by different factors (Fig. 6). The shrub layer was dominated by deep-rooted plants (phreatophytes), and  $T_{\text{shrub}}$  was not restricted by water conditions, such as precipitation and SWC (Smith et al. 1997; Liu et al. 2017). Instead, an increase in  $T_a$  is expected to increase plant water use. At low to moderate levels of  $T_a$ , the decrease in stomatal conductance is typically not large enough to prevent increased  $T_{\text{shrub}}$  (Leuning 1995; Hasper et al. 2016). The herbaceous layer was dominated by shallow-rooted species that use precipitation as the main water source, and  $T_{\text{herb}}$  is more sensitive to water availability (Hu et al. 2009; Liu et al. 2012). Additionally, increases in shallow-rooted plant cover may reduce bare-soil  $E$  and thus increase  $T_{\text{herb}}$  (Liu et al. 2012, 2016). These mechanisms may explain the different drivers of ET components, and may be an important source of uncertainty in predictions of water cycling under projected climatic change scenarios.

### Study limitation and conclusions

Our study aims at a better understanding of source and sinking process of ET for a groundwater-dependent desert plant community. Constrained by experimental design, partition sinking process of ET is still incomplete; the water resource of  $E$  and  $T_{\text{herb}}$  would not separately distinguish between groundwater and precipitation. To solve this problem, a more focused study on identifying groundwater  $E$  and soil  $E$  of water from precipitation is required. Nevertheless, the results still confirmed that seasonal patterns and main drivers of ET components differed among each other, and indicated that the whole plant community cannot be considered as a single, spatially uniform system for water vapor exchange with the atmosphere. The results emphasized again, in groundwater-dependent desert plant community with phreatophytes, the often neglected groundwater is a relevant contribution to ET, and most of the groundwater is consumed by  $T$  of phreatophytes. Overall, it is critical to define accurately the source and sinking process of ET; such fundamental understanding is required not only to improve surface-atmosphere models but also to better budget and manage the water resource in water-limited ecosystems.

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